#### EXTENDED TO MAY 15, 2017

Department of the Treasury

Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public. Information about Form 990 and its instructions is at www.irs.gov/form990.

Open to Public Inspection

OMB No. 1545-0047

A	For the	ne 2015 calendar year, or tax year beginning J	UL 1, 2015 and	ending J	UN 30, 2016		
В	Check i applica	f C Name of organization				identific	ation number
	Add char	ress 19e AMERICAN LUNG ASSOCIATION					
F	Nam char	e			1 .	12 1620	E0.4
	Initia	N. BOLL	plivered to street address)	Room/suite		13-1632	524
F	Fina	FF	sireled to sireet address;	noon/suite			
	retur term ated		17IP or foreign postal gods			217-787	
Г	886 Yi ROSS	nded	1211 Of foreign postal code		G Gross receipts		46,905,286.
Г	Appl		I D. MINOUED		H(a) Is this a		
	pend	SAME AS C ABOVE	LD WIMMER		for subor		
1	Тах-е		(insert no.) 4947(a)(1)	or 527			luded? Yes No
		ite: www.lung.org	(IIISELT 110.) 4947(a)(1)	01 321	1, -		st. (see instructions)
			ssociation Other	I Voor	H(c) Group ex		
	art I		other Direct	L Year	or formation: 19	18   M	State of legal domicile: ME
	T 4		t cignificant antivities,				
Activities & Governance	'	Briefly describe the organization's mission or mos			THE ALA IS	TO	
nar	2	SAVE LIVES BY IMPROVING LUNG HEALTH A			th 050/ (*)	ADDITION OF THE PROPERTY.	**************************************
ver	3	Check this box if the organization disco	(Cost ) (Line 1s)	sea of more	than 25% of it	s net ass	ets.
ၓၟ	4	Number of voting members of the governing body	(Part VI, line Ta)			3	22
<b>∘</b> ŏ	5	Number of independent voting members of the go	overning body (Part VI, line 1b)		••••••	4	22
tie	5	Total number of individuals employed in calendar	year 2015 (Part V, line 2a)			5	77
ξį	6	Total number of volunteers (estimate if necessary)	(0) 1:			6	108998
Ä	/ a	Total unrelated business revenue from Part VIII, co	Diumn (C), line 12			7a	0.
_	L)	Net unrelated business taxable income from Form	990-1, line 34	·····		7b	0.
	0	Contributions and grants (Part VIII line 11)			Prior Year		Current Year
ne	8	Contributions and grants (Part VIII, line 1h)			9,782	•	8,128,925.
Revenue	9				33,065		31,705,838.
Re	10	Investment income (Part VIII, column (A), lines 3, 4			1,7,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1	,511.	194,559.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8d			1,441	,612.	2,811,516.
-	12	Total revenue - add lines 8 through 11 (must equal			44,813	,875.	42,840,838.
	13	Grants and similar amounts paid (Part IX, column (	A), lines 1-3)		6,778	,417.	8,370,838.
	14	Benefits paid to or for members (Part IX, column (A	A), line 4)			0.	0.
Expenses	15	Salaries, other compensation, employee benefits (	Part IX, column (A), lines 5-10)		7,177	,613.	7,577,482.
eus	16a	Professional fundraising fees (Part IX, column (A), I	line 11e)		1,776	,225.	15,305,468.
X	b	Total fundraising expenses (Part IX, column (D), lin	e 25)	699.			
_	17	Other expenses (Part IX, column (A), lines 11a-11d	, 11f-24e)		25,839	,076.	8,253,492.
	18	Total expenses. Add lines 13-17 (must equal Part I			41,571	331.	39,507,280.
_ 0	19	Revenue less expenses. Subtract line 18 from line	12		3,242	,544.	3,333,558.
ts o		The state of the s		Beg	ginning of Curren	t Year	End of Year
SSe	20		·		38,036	,463.	38,729,214.
Net Assets or Fund Balances	21				24,418	940.	23,536,810.
		Net assets or fund balances. Subtract line 21 from	line 20		13,617	523.	15,192,404.
	art II	Signature Block					
		alties of perjury, I declare that I have examined this return,					knowledge and belief, it is
true	, corre	ct, and complete. Declaration of preparer (other than office	er) is based on all information of wh	iich preparer	has any knowledg	19.01	7
200		Signature of officer				ושו	
Sig		Sign of the state			Date		
Her	е	LAURA SCOTT, CFO					
		Type or print name and title		- 15			
_		Print/Type preparer's name	Preparer's signature	D	ate c	heck	]  PTIN
Paid			RICHARD LYNCH	0.3	/02/17 s	elf-employed	P01514704
	arer	Firm's name SIKICH LLP			Firm's E	IN 🛌	36-3168081
Use	Only	Firm's address 3201 W. WHITE OAKS DR.,	STE. 102				
		SPRINGFIELD, IL 62704			Phone r	10.(217)	793-3363
May	the I	RS discuss this return with the preparer shown abo	ve? (see instructions)				X Yes No

	n 990 (2015) AMERICAN LUNG ASSOCIATION 13 art III   Statement of Program Service Accomplishments	-1632524	Page <b>2</b>
Pa			
_	Check if Schedule O contains a response or note to any line in this Part III		х
1	Briefly describe the organization's mission:		
	SEE ATTACHMENT 1 - SCHEDULE O.		
2	Did the organization undertake any significant program services during the year which were not listed on		
	the prior Form 990 or 990-EZ?	Υ	∕es Lx_lNo
_	If "Yes," describe these new services on Schedule O.		
3	Did the organization cease conducting, or make significant changes in how it conducts, any program services?  If "Yes," describe these changes on Schedule O.	Ү	∕es x No
4	Describe the organization's program service accomplishments for each of its three largest program services, as mea		
	Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, t	he total expense	es, and
	revenue, if any, for each program service reported.		
4a	(Code:) (Expenses \$ 16,676,502. including grants of \$ 751,996. ) (Revenue \$	14	<u>,970,705.</u>
	LUNG CANCER, ASTHMA, LUNG DISEASE AND TOBACCO CONTROL:		
	LUNG CANCER IS AN URGENT HEALTH CRISIS IN AMERICA, KILLING MORE PEOPLE		
	THAN ANY OTHER CANCER. OUR LUNG FORCE INITIATIVE, TO MAKE LUNG CANCER A		
	NATIONAL PRIORITY, CONTINUED TO RAISE AWARENESS, OFFER PATIENT SUPPORT		
	AND RAISE FUNDS FOR RESEARCH. LUNG FORCE HAS ENABLED US TO INCREASE OUR		
	FUNDING OF LUNG CANCER RESEARCH IN FY16 BY 26.5 PERCENT OVER LAST YEAR,		
	AND WORK TO ACHIEVE INCREASED NATIONAL INSTITUTES OF HEALTH (NIH)		
	FUNDING OF LUNG CANCER RESEARCH AND PROVIDE NEW RESOURCES TO LUNG		
	CANCER PATIENTS NATIONWIDE. THROUGH LUNG FORCE 80,000 LUNG CANCER		
	PATIENTS AND CAREGIVERS RECEIVING SUPPORT EACH MONTH.		
	OUR FIRST LUNG FORCE ADVOCACY DAY IN WASHINGTON DC, ON MARCH 16,		
4b	/(a.ta.) /(a.ta.) / (a.ta.) / (a.ta.	6	<u>,842,070.</u>
	RESEARCH:		
	FUNDING MEDICAL RESEARCH IS A CORNERSTONE OF OUR MISSION AND THE		
	PROMISE OF BETTER TREATMENTS, AND ULTIMATELY CURES THAT CAN MAKE LUNG		
	DISEASE A DISTANT MEMORY. AT THE AMERICAN LUNG ASSOCIATION, THIS		
	PROMISE IS FULFILLED EVERY YEAR IN WAYS THAT HAVE A DIRECT,		
	LIFE-CHANGING IMPACT ON PATIENTS' LIVES. OUR RESEARCH PROGRAM INCLUDES		
	OUR AWARDS AND GRANTS PROGRAM AND OUR AIRWAYS CLINICAL RESEARCH CENTERS		
	NETWORK (ACRC).		
	OUR AWARDS AND GRANTS PROGRAM PROVIDES INVESTIGATORS WITH THE FUNDS		
	THEY NEED TO INITIATE AND GROW THEIR LUNG DISEASE CAREERS. THIS YEAR,		
_	THE PROGRAM FUNDED 36 NEW AWARDEES AND 34 CONTINUING AWARDEES-70		
4c		8	<u>,620,580.</u>
	SUPPORT OF AMERICAN LUNG ASSOCIATION CHARTERED ASSOCIATIONS:		
	THE AMERICAN LUNG ASSOCIATION NATIONAL OFFICE SUPPORTS ITS EIGHT		_
	CHARTERED ASSOCIATIONS THROUGH COACHING, TRAINING, MARKETING,		
	CONSULTATION AND TECHNICAL ASSISTANCE. AMERICAN LUNG ASSOCIATION STAFF		
	AND VOLUNTEERS THROUGHOUT THE COUNTRY ARE PROVIDED SKILL-BUILDING AND		
	OTHER LEARNING OPPORTUNITIES TO HELP THEM SUCCESSFULLY DELIVER THE		
	AMERICAN LUNG ASSOCIATION'S MISSION. THROUGH IMPLEMENTATION OF THESE		

ASSOCIATIONS ARE KEPT CURRENT ON BEST PRACTICES IN LUNG HEALTH PROGRAMS

AND DELIVERY, ADVOCACY, FINANCIAL MANAGEMENT, FUNDRAISING, LEADERSHIP

DEVELOPMENT, PROGRAM AND EVENT MARKETING AND VOLUNTEER MANAGEMENT.

**4d** Other program services (Describe in Schedule O.)

2,896,335. including grants of \$ 710,096.) (Revenue\$

4e Total program service expenses ► 36 797 338.

## Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		Х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		Х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		Х
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		Х
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8		х
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		Х
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent			
	endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10		Х
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	х	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		Х
С	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total			
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		Х
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in			
	Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	X	
е	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses			
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X	
12a				
	Schedule D, Parts XI and XII	12a	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		Х
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Х
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000	4.41-		
4-	or more? If "Yes," complete Schedule F, Parts I and IV	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes " complete Schedule F. Parts II and IV.	45		v
16	foreign organization? If "Yes," complete Schedule F, Parts II and IV  Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to	15		Х
16	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		v
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,	10		Х
.,	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	Х	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines		Λ	
	1c and 8a? If "Yes," complete Schedule G, Part II	18		х
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes,"			
	complete Schedule G, Part III	19		Х

## Part IV Checklist of Required Schedules (continued)

			Yes	No
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		Х
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Х	
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		Х
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No", go to line 25a	24a		Х
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		Х
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
	Schedule L, Part I	25b		Х
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or			
	former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes,"			
	complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial			
	contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member			
	of any of these persons? If "Yes," complete Schedule L, Part III	27		Х
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions for applicable filing thresholds, conditions, and exceptions):			
	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a		X
	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b		Х
С	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,			
	director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations?			
	If "Yes," complete Schedule N, Part I	31		Х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
04	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		Х
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
OF-	Part V, line 1	34		X
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		Х
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity	051		
00	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?	000		
07	If "Yes," complete Schedule R, Part V, line 2	36		Х
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization	0.7		
00	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		Х
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	000	<u>,                                    </u>	
	Note. All Form 990 filers are required to complete Schedule O	38	Х	

# Form 990 (2015) AMERICAN LUNG ASSOCIATION Part V Statements Regarding Other IRS Filings and Tax Compliance

	Check if Schedule O contains a response or note to any line in this Part V			
			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b 0			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c		l
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return 2a			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b	Х	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
За	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		Х
b	If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O	3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			1
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		Х
b	If "Yes," enter the name of the foreign country:			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		Х
	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		Х
	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit	_		Ì
	any contributions that were not tax deductible as charitable contributions?	6a		X
р	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts	Ch		Ì
7	were not tax deductible?  Organizations that may receive deductible contributions under section 170(c).	6b		
7 a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		v
	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		Х
	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required	7.0		
Ĭ	to file Form 8282?	7c		х
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d			
	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		х
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Х
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the			
	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.			
а	Did the sponsoring organization make any taxable distributions under section 4966?	9a		
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:			
	Initiation fees and capital contributions included on Part VIII, line 12			
	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations. Enter:			
	Gross income from members or shareholders Gross income from other sources (Do not net amounts due or paid to other sources against			
b	amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	IZU		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
	Is the organization licensed to issue qualified health plans in more than one state?	13a		
-	Note. See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
	Did the organization receive any payments for indoor tanning services during the tax year?	14a		Х
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI					х			
Sec	tion A. Governing Body and Management								
			ī		Yes	No			
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	2	2					
	If there are material differences in voting rights among members of the governing body, or if the governing								
	body delegated broad authority to an executive committee or similar committee, explain in Schedule O.								
b	Enter the number of voting members included in line 1a, above, who are independent	1b	2	2					
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationshi	p with	any other						
	officer, director, trustee, or key employee?			2		Х			
3	Did the organization delegate control over management duties customarily performed by or under the	e dire	ct supervision						
	of officers, directors, or trustees, or key employees to a management company or other person? $\dots$			3		Х			
4	Did the organization make any significant changes to its governing documents since the prior Form 9	990 w	as filed?	4		Х			
5	Did the organization become aware during the year of a significant diversion of the organization's ass	sets?		5		Х			
6	Did the organization have members or stockholders?			6		Х			
7a	Did the organization have members, stockholders, or other persons who had the power to elect or a	ppoint	one or						
	more members of the governing body?			7a		Х			
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, s	stockh	olders, or						
	persons other than the governing body?			7b		Х			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	ar by tl	ne following:						
а	The governing body?			8a	Х				
b									
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be real	ached	at the						
	organization's mailing address? If "Yes," provide the names and addresses in Schedule O			9		Х			
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal R	evenu	e Code.)						
					Yes	No			
	Did the organization have local chapters, branches, or affiliates?			10a	Х				
b	If "Yes," did the organization have written policies and procedures governing the activities of such cl	hapteı	rs, affiliates,						
	and branches to ensure their operations are consistent with the organization's exempt purposes? $\dots$			10b	Х				
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing bod	ly befo	ore filing the form?	11a	Х				
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.								
12a				12a	Х				
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise			12b	Х				
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Y	,							
	in Schedule O how this was done			12c	Х				
13	Did the organization have a written whistleblower policy?			13	Х				
14	Did the organization have a written document retention and destruction policy?			14	Х				
15	Did the process for determining compensation of the following persons include a review and approve		ndependent						
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?								
а	The organization's CEO, Executive Director, or top management official			15a	Х				
b	Other officers or key employees of the organization			15b	Х				
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).								
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrange	ment \	with a						
	taxable entity during the year?			16a		X			
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate		· · · · · ·						
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the orga	nizatio	on's						
	exempt status with respect to such arrangements?			16b					
Sec	tion C. Disclosure								
17	List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O								
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-7	Γ (Sec	tion 501(c)(3)s only)	availab	ole				
	for public inspection. Indicate how you made these available. Check all that apply.								
	x Own website Another's website x Upon request Other (explain		•						
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, co	nflict	of interest policy, an	d finan	cial				
	statements available to the public during the tax year.								
20	State the name, address, and telephone number of the person who possesses the organization's bo	oks a	nd records:						
	LAURA SCOTT, CFO - 217-787-5864								
	3000 KELLY LANE, SPRINGFIELD, IL 62711				. 000	/O.O. : = :			
	2 40 40 45			Ear-	· uui l	10015			

# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

(ist any hours for related organizations below line)   (iv-2/1099-MISC)   (v-2/1099-MISC)   (v-2/109	(A) Name and Title	(B) Average hours per week	box	not c , unle cer an	ss pe	ition more rson i	than is bot	h an	( <b>D)</b> Reportable compensation from	(E) Reportable compensation from related	<b>(F)</b> Estimated amount of other
BOARD CHAIR		(list any hours for related organizations below	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization	organizations	compensation from the organization
(2) JOHN F. EMANUEL, JD	•	2.00	.,		77					0	0
BOARD VICE-CHAIR	•	2 00	Λ		Λ				0,	U,	0.
SECRETARY J. SIEWERT   2.00   X	•	2.00	v		v				0	0	0.
SECRETARY/TREASURER	•	2 00	Λ		Λ						<u> </u>
(4) ROSS P. LANZAFAME, ESQ.   2.00		2.00	x		x				0	0	0.
PAST CHAIR		2.00							,		<u> </u>
Column   C	, -		х						0.	0.	0.
DIRECTOR		2.00							-		
Column			х						0.	0.	0.
DIRECTOR	(6) MICHAEL F. BUSK, M.D., MPH	2.00									
DIRECTOR			Х						0.	0.	0.
(8) CHRISTOPHER CARNEY	(7) CHERYL A. CALHOUN, BA, MBA	2.00									
DIRECTOR	DIRECTOR		Х						0.	0.	0.
O	(8) CHRISTOPHER CARNEY	2.00									
DIRECTOR	DIRECTOR		Х						0.	0.	0.
Column	(9) MICHAEL V. CARSTENS	2.00	-								
DIRECTOR			Х						0.	0.	0.
Column		2.00	-								
DIRECTOR			Х						0.	0.	0.
Column		2.00	х						0.	0.	0.
(13) ANGELA V. MASTROFRANCESCO       2.00         DIRECTOR       X         (14) ROBERT K. MERCHANT, M.D., M.S.       2.00         DIRECTOR       X         (15) STEPHEN J. NOLAN, ESQ.       2.00         DIRECTOR       X         (16) STEPHEN R. O'KANE       2.00         DIRECTOR       X         (17) HARRY PERLSTADT, PHD., MPH       2.00	(12) SUMITA B. KHATRI, M.D., M.S.	2.00									
DIRECTOR	DIRECTOR		Х						0.	0.	0.
(14) ROBERT K. MERCHANT, M.D., M.S.       2.00         DIRECTOR       X         (15) STEPHEN J. NOLAN, ESQ.       2.00         DIRECTOR       X         (16) STEPHEN R. O'KANE       2.00         DIRECTOR       X         (17) HARRY PERLSTADT, PHD., MPH       2.00	(13) ANGELA V. MASTROFRANCESCO	2.00									
DIRECTOR         X         0.         0.           (15) STEPHEN J. NOLAN, ESQ.         2.00         X         0.         0.           DIRECTOR         X         0.         0.         0.           (16) STEPHEN R. O'KANE         2.00         0.         0.         0.           DIRECTOR         X         0.         0.         0.           (17) HARRY PERLSTADT, PHD., MPH         2.00         0.         0.	DIRECTOR		Х						0.	0.	0.
(15) STEPHEN J. NOLAN, ESQ.     2.00       DIRECTOR     X       (16) STEPHEN R. O'KANE     2.00       DIRECTOR     X       (17) HARRY PERLSTADT, PHD., MPH     2.00	•	2.00	Х						0.	0.	0.
DIRECTOR         X         0.         0.           (16) STEPHEN R. O'KANE         2.00         0.         0.           DIRECTOR         X         0.         0.           (17) HARRY PERLSTADT, PHD., MPH         2.00         0.         0.		2.00							·	,	
(16) STEPHEN R. O'KANE 2.00 DIRECTOR X 0. 0. (17) HARRY PERLSTADT, PHD., MPH 2.00	•		х						0.	0.	0.
(17) HARRY PERLSTADT, PHD., MPH 2.00	(16) STEPHEN R. O'KANE	2.00									
	DIRECTOR		Х						0.	0.	0.
DIRECTOR X 0. 0.	(17) HARRY PERLSTADT, PHD., MPH	2.00	1								
	DIRECTOR		Х						0.	0.	0. Form <b>990</b> (2015)

532007 12-16-15

Part VII Section A. Officers, Directors, Trus	tees, Key Em	ploy	ees	, an	d Hi	ghe	st C	ompensated Employe	es (continued)			
(A)	(B)			(0	C)			(D)	(E)		(F)	
Name and title	Average hours per week	box	not c , unle cer an	heck ss pe	erson	than is bot	h an	Reportable compensation from	Reportable compensation from related	an	stimate nount of other	
	(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC)	organizations (W-2/1099-MISC)	fr org and	npensa rom the ganizati d relate anizatio	e ion ed
(18) JANE Z. REARDON, MSN, APRN, CS,	2.00											
DIRECTOR		Х						0.	0.			0
(19) AL ROWE	2.00											
DIRECTOR		Х						0.	0.			0
(20) JEFFREY T. STEIN, CFP, CRPS DIRECTOR	2.00	x						0.	0.			0 .
(21) KARIN A. TOLLEFSON, PHARMD	2.00											
DIRECTOR		х						0.	0.			0
(22) LETICIA W. TOWNS	2.00							·				
DIRECTOR		Х						0.	0.			0 .
(23) HAROLD WIMMER	40.00											
PRESIDENT & CEO				Х				401,788.	0.		64,	820
(24) LAURA SCOTT	40.00											
CHIEF FINANCIAL OFFICER				Х				217,157.	0.		16,	651
(25) PAUL BILLINGS	40.00											
VP NATIONAL POLICY & ADVOC					Х			193,259.	0.		21,	124
(26) SUSAN RAPPAPORT	40.00											
VP RESEARCH & PROGRAM					Х			179,264.	0.		46,	655
1b Sub-total							ightharpoons	991,468.	0.		149,	250
c Total from continuation sheets to Part V							ightharpoons	958,190.	0.		141,	313
d Total (add lines 1b and 1c)							<b></b>	1,949,658.	0.		290,	563
2 Total number of individuals (including but n	ot limited to th	ose	liste	ed a	bove	e) wl	no re	eceived more than \$100	,000 of reportable			
compensation from the organization											Yes	20 <b>No</b>
0 Billi i i i i i i i i i i i i i i i i i											162	NO
3 Did the organization list any former officer, line 1a? If "Yes," complete Schedule J for s			-	•	•	•		•	• •	3		v
4 For any individual listed on line 1a, is the su										3		Х

and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

#### Section B. Independent Contractors

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
RR DONNELLEY		
1333 SCHEURING RD., DE PERE, WI 54115	SUPPLY CHAIN MANAGEMENT	4,380,725.
DANIEL EDLEMAN, INC, 200 E RANDOLPH ST.,		
FLR 63, CHICAGO, IL 60601	PUBLIC RELATIONS	3,022,176.
BRICKMILL MARKETING SERVICES		
24 MILL BROOK RD., WILTON, NH 03086	MARKETING	2,921,018.
RESOURCE ONE		
2900 EAST APACHE ST., TULSA, OK 74110	MARKETING	2,823,673.
JOHNS HOPKINS		
1101 E 33RD ST., BALTIMORE, MD 21218	RESEARCH PROJECTS	1,294,444.
2 Total number of independent contractors (including but not limited to thos	se listed above) who received more than	
\$100,000 of compensation from the organization	3	

SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 AMERICAN LU	<u>JNG ASSOCIATI</u>	ON							13-163252	4
Part VII   Section A. Officers, Directors,	Trustees, Key Eı	mplo	oyee	s, a	nd ŀ	ligh	est	Compensated Employ	ees (continued)	
(A) Name and title	<b>(B)</b> Average hours	(cl		Pos all			ıly)	( <b>D)</b> Reportable compensation	<b>(E)</b> Reportable compensation	<b>(F)</b> Estimated amount of
	per week (list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	from the organization (W-2/1099-MISC)	from related organizations (W-2/1099-MISC)	other compensation from the organization and related organizations
(27) SUSAN SWAN CHIEF DEVELOPMENT OFFICER	40.00				х			192,038.	0.	20,325
(28) ALANA BURNS	40.00							1,000.	<u> </u>	20,020
/P SIGNATURE CAUSE CAMPAIG						х		194,040.	0.	14,66
(29) RUSSELL BURWELL VP GOVERNANCE	40.00					Х		155,375.	0.	25,71
(30) CRAIG FINSTEAD	40.00					Λ		155,575.	0.	25,71
AVP DIREC RESPONSE OPERATION						х		137,576.	0.	23,44
(31) SALLY DRAPER VP DEVELOPMENT	40.00					Х		168,058.	0.	21,17
(32) LORRAINE CHAPIN	40.00									
AVP CORP RELATIONS						Х		111,103.	0.	35,99
		L								
Fotal to Dout VIII Continue A line 4 -	·							050 400		4.44 0.5
Total to Part VII, Section A, line 1c								958,190.		141,313

# Form 990 (2015) Part VIII Statement of Revenue

		Check if Schedule O cont	•		<b>(A)</b> Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	Revenue excluded from tax under sections 512 - 514
ıts	1 a	Federated campaigns	1a					
ā	b	Membership dues	1b					
and Other Similar Amounts		Fundraising events						
ar /		Related organizations						
Ē		Government grants (contribut		897,741.				
2		All other contributions, gifts, grant	· -	, , , , , , , , , , , , ,				
the		similar amounts not included above		7,231,184.				
Ö	g	Noncash contributions included in lines		, , ,				
au	_	Total. Add lines 1a-1f	-	<b>&gt;</b>	8 128 925.			
				Business Code	-,,			
	2 a	PROGRAM REIMBURSEMENTS		900099	20,092,083.	20,092,083.		
		CHART, ASSOC, ASSESSME		900099	5,725,437.	5,725,437.		
Jue		PROGRAM SERVICE CONTRA		900099	3,516,712.	3,516,712.		
Ş.		DIRECT RESP. ACTIVITY		900099	2,317,651.	2,317,651.		
ž				900099	, ,			
Revenue		MEMBERSHIP DUES All other program service reve	nue		53,955.	53,955.		
		Total. Add lines 2a-2f			31,705,838.			
	3	Investment income (including			31,705,636.			
	3	other similar amounts)			460 533			460 53
	4	Income from investment of tax			460,532.			460,53
	4 5				1 022 102	1 205 502		COE E1
	5	Royalties			1,933,103.	1,327,593.		605,51
	•	Cuana wanta	(i) Real	(ii) Personal				
	6 a	Gross rents						
		Less: rental expenses						
		Rental income or (loss)	•					
		Net rental income or (loss)						
	7 a	Gross amount from sales of	(i) Securities	(ii) Other				
		assets other than inventory	3,798,475	•				
	b	Less: cost or other basis						
		and sales expenses						
		Gain or (loss)						
		Net gain or (loss)			-265,973.			-265,97
2	8 a	Gross income from fundraising						
		including \$						
		contributions reported on line	-					
;		Part IV, line 18	a					
	b	Less: direct expenses	b					
	С	Net income or (loss) from fund	Iraising events	<b></b>				
	9 a	Gross income from gaming ac						
		Part IV, line 19	a					
	b	Less: direct expenses	b					
	С	Net income or (loss) from gam	ing activities					
1	10 a	Gross sales of inventory, less	returns					
		and allowances	a					
	b	Less: cost of goods sold	b					
L	С	Net income or (loss) from sale	s of inventory					
		Miscellaneous Revenu	e	Business Code				
1	11 a	RESEARCH GRANT SERVICE		900099	500,753.			500,75
		PROGRAM PARTICIPANT FE		900099	228,734.			228,73
		EDITOR THOUSE		900099	107,401.			107,40
		All other revenue			41 525.			41.52
					±±.J4J.			<u> </u>
		Total. Add lines 11a-11d			878,413.			

### Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Do	Check if Schedule O contains a response not include amounts reported on lines 6b,	(A) Total expenses	(B) Program service	(C)	(D)
	8b, 9b, and 10b of Part VIII.	Total expenses	Program service expenses	Management and general expenses	Fundraising expenses
1	Grants and other assistance to domestic organizations				
	and domestic governments. See Part IV, line 21	8,370,838.	8,370,838.		
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22				
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors,				
	trustees, and key employees	1,353,081.	1,029,850.	264,072.	59,159
6	Compensation not included above, to disqualified				
	persons (as defined under section 4958(f)(1)) and				
	persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	4,630,880.	3,524,632.	903,777.	202,471
8	Pension plan accruals and contributions (include				
	section 401(k) and 403(b) employer contributions)				
9	Other employee benefits	1,182,535.	894,092.	235,651.	52,792
10	Payroll taxes	410,986.	310,738.	81,900.	18,348
11	Fees for services (non-employees):				
а	Management				
b	Legal				
С	Accounting				
d	Lobbying				
е	Professional fundraising services. See Part IV, line 17	15,305,468.			15,305,468
f	Investment management fees	41,730.		41,730.	, ,
g	Other. (If line 11g amount exceeds 10% of line 25,	·		,	
J	column (A) amount, list line 11g expenses on Sch 0.)	5,240,786.	5,080,484.	114,896.	45,406
12	Advertising and promotion	14,064,823.	14,064,823.		
13	Office expenses	,,	,,		
14	Information technology				
15	Royalties				
16	Occupancy	692,389.	389.783.	247,222.	55,384
17	Travel	338,559.	280,417.	47,500.	10,642
18	Payments of travel or entertainment expenses	330,333.	200,417.	±1,500.	10,012
10	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	273,090.	226,855.	37,773.	8,462
20	Interest	213,030.	220,033.	31,113.	0,402
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	64,847.	36,502.	23,157.	5,188
23	Insurance	162,299.	91,358.	57,957.	12,984
23 24	Other expenses. Itemize expenses not covered	102,239.	91,330.	31,331.	12,504
<b>4</b> 7	above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)				
а	PROCESSING FEES	1,249,965.	1,244,916.	4,125.	924
b	RESIDENTIAL CAMPAIGN	776,085.	776,085.	1,123.	721
C	OFFICE SUPPLIES & EQUIP	384,556.	275,566.	89,042.	19,948
d	TELECOMMUNICATION	99,432.	68,197.	25,518.	5,717
	All other expenses	-15,135,069.	132,202.	35,923.	-15,303,194
25	Total functional expenses. Add lines 1 through 24e	39,507,280.	36,797,338.	2,210,243.	499,699
<u>25</u> 26	Joint costs. Complete this line only if the organization	39,301,200.	30,131,330.	4,410,443.	4.55,033
20	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				

532010 12-16-15 Form **990** (2015)

# Form 990 (2015) Part X Balance Sheet

Pai	rt X	Balance Sheet					
		Check if Schedule O contains a response or not	e to any lin	e in this Part X			
					<b>(A)</b> Beginning of year		<b>(B)</b> End of year
	1	Cash - non-interest-bearing			550.	1	550.
	2	Savings and temporary cash investments			13,137,880.	2	16,004,595.
	3	Pledges and grants receivable, net				3	
	4	Accounts receivable, net			3,617,193.	4	2,360,812.
	5	Loans and other receivables from current and for					
		trustees, key employees, and highest compensa	ated emplo	yees. Complete			
		Part II of Schedule L				5	
	6	Loans and other receivables from other disquali					
		section 4958(f)(1)), persons described in section	4958(c)(3)	(B), and contributing			
		employers and sponsoring organizations of sect	ion 501(c)(	9) voluntary			
ş		employees' beneficiary organizations (see instr).	Complete	Part II of Sch L		6	
Assets	7	Notes and loans receivable, net				7	
⋖	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			1,030,940.	9	640,164.
	10a	Land, buildings, and equipment: cost or other					
		basis. Complete Part VI of Schedule D	10a	1,426,790.			
	b	Less: accumulated depreciation	10b	1,259,695.	185,642.	10c	167,095.
	11	Investments - publicly traded securities			14,669,182.	11	14,408,164.
	12	Investments - other securities. See Part IV, line 1				12	
	13	Investments - program-related. See Part IV, line	11			13	
	14	Intangible assets				14	
	15	Other assets. See Part IV, line 11			5,395,076.	15	5,147,834.
	16	Total assets. Add lines 1 through 15 (must equa			38,036,463.	16	38,729,214.
	17	Accounts payable and accrued expenses			2,033,125.	17	1,041,581.
	18	Grants payable			3,790,814.	18	4,598,273.
	19	Deferred revenue			9,155,191.	19	8,325,436.
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete I				21	
ies	22	Loans and other payables to current and former					
ij		key employees, highest compensated employee					
Liabilities		Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrela				23	
	24	Unsecured notes and loans payable to unrelated				24	
	25	Other liabilities (including federal income tax, pa					
		parties, and other liabilities not included on lines	•	•	0 400 040	0.5	0 554 500
	00	Schedule D			9,439,810.	25	9,571,520.
	26	Total liabilities. Add lines 17 through 25	\\		24,418,940.	26	23,536,810.
"		Organizations that follow SFAS 117 (ASC 958		ere 🚩 📖 and			
Ç	07	complete lines 27 through 29, and lines 33 an			0 515 000	07	10 222 066
lan	27	Unrestricted net assets Temporarily restricted net assets			8,515,889.	27	10,333,066.
Ba	28				1,211,570.	28	1,098,527.
Net Assets or Fund Balances	29	Organizations that do not follow SFAS 117 (A		heck here	3,890,064.	29	3,760,811.
ř		and complete lines 30 through 34.	ას <del>შ</del> აბე, C	HECK HEIG F			
ts o	30	Capital stock or trust principal, or current funds				30	
sse	31	Paid-in or capital surplus, or land, building, or ed				31	
t As	32	Retained earnings, endowment, accumulated in				32	
Š	33	Total net assets or fund balances		F	13,617,523.	33	15 100 404
	JJ	Total liabilities and net assets/fund balances			13,011,323.	00	15,192,404.

Both consolidated and separate basis

Form **990** (2015)

2c X

За

Separate basis

Consolidated basis

or audits, explain why in Schedule O and describe any steps taken to undergo such audits

c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit,

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit

b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit

review, or compilation of its financial statements and selection of an independent accountant?

Act and OMB Circular A-133?

# SCHEDULE A

Department of the Treasury

Internal Revenue Service

(Form 990 or 990-EZ)

# **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

2015

Open to Public Inspection

Name of the organization						Employer	identification number		
_			AN LUNG ASSOCIA						3-1632524
Pa	rt I	Reason for Public (	Charity Status (	All organizations must c	omplete th	is part.) Se	ee instructions	S	
The	organ	ization is not a private found	lation because it is: (	(For lines 1 through 11, o	check only	one box.)			
1	Щ	A church, convention of ch	urches, or association	on of churches describe	d in <b>sectio</b>	on 170(b)(	1)(A)(i).		
2	Ш	A school described in secti	ion 170(b)(1)(A)(ii). (	Attach Schedule E (Forr	n 990 or 9	90-EZ).)			
3	Ш	A hospital or a cooperative	hospital service orga	anization described in <b>s</b>	ection 170	)(b)(1)(A)(i	ii).		
4		A medical research organiz	ation operated in co	njunction with a hospita	l describe	d in <b>sectio</b>	n 170(b)(1)(A)	(iii). Enter	the hospital's name,
		city, and state:							
5		An organization operated for	or the benefit of a co	llege or university owne	d or opera	ted by a g	overnmental u	nit describ	ed in
		section 170(b)(1)(A)(iv). (C	Complete Part II.)						
6	Ш	A federal, state, or local government	vernment or governn	mental unit described in	section 1	70(b)(1)(A)	(v).		
7	LX	An organization that norma		ntial part of its support	from a gov	ernmental	unit or from the	ne general	public described in
		section 170(b)(1)(A)(vi). (C	omplete Part II.)						
8	Щ	A community trust describe	ed in <b>section 170(b)</b>	(1)(A)(vi). (Complete Par	t II.)				
9		An organization that norma	Illy receives: (1) more	than 33 1/3% of its sup	oport from	contributi	ons, members	hip fees, a	nd gross receipts from
		activities related to its exen	npt functions - subje	ct to certain exceptions	, and (2) no	o more tha	n 33 1/3% of	its support	from gross investment
		income and unrelated busing		(less section 511 tax) fr	om busine	esses acqu	ired by the or	ganization	after June 30, 1975.
		See <b>section 509(a)(2).</b> (Cor							
10	Ш	An organization organized a	•	•	-				
11		An organization organized a	•	•	•			•	•
		more publicly supported or	-						check the box in
		lines 11a through 11d that	* *			•		-	
а			•	•					• •
		the supported organization			a majority	of the dire	ctors or truste	es of the s	upporting
		organization. You must o	•					/ <b>&gt; 1</b> 1	
b			•				-		-
		control or management o			same perso	ons that co	ontrol or mana	ge tne sup	ропеа
		organization(s). You mus	•					h. :	ماهاند، ام
С		☐ Type III functionally inte						ly integrate	ea with,
الم		its supported organizatio		•	•	•	-	tad araani	zation(a)
d									
		requirement (see instruct	-		•		-	an allenii	VEHESS
		Check this box if the orga	•	•				II Type III	
е	_	functionally integrated, or					турет, туре	п, туре п	
f	Ente	er the number of supported o							
	Prov	vide the following information	about the supporte	ed organization(s)					
		i) Name of supported	(ii) EIN	(iii) Type of organization	(iv) Is the c	rganization	(v) Amount of	monetary	(vi) Amount of
		organization		(described on lines 1-9		in your document?	support		other support (see
				above (see instructions))	Yes	No	instructi	ons)	instructions)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 532021 09-23-15

#### Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sed	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")	10,546,204.	8,618,848.	6,082,938.	9,782,765.	8,128,925.	43,159,680.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
4	Total. Add lines 1 through 3	10,546,204.	8,618,848.	6,082,938.	9,782,765.	8,128,925.	43,159,680.
5	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	column (f)						5.890.850.
6	Public support. Subtract line 5 from line 4.						37,268,830.
Sed	ction B. Total Support						, ,
Cale	ndar year (or fiscal year beginning in)	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
7	Amounts from line 4	10,546,204.	8,618,848.	6,082,938.	9,782,765.	8,128,925.	43,159,680.
8	Gross income from interest,						
	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources	1,592,243.	952.391.	1 178 134.	986,268.	2,393,635.	7,102,671.
9	Net income from unrelated business	, , ,	,		,	, , ,	
	activities, whether or not the						
	business is regularly carried on						
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part VI.)	556,293.	517.426.	1.339.034.	884 922.	878 412.	4.176.087.
11	<b>Total support.</b> Add lines 7 through 10	,			,		54,438,438.
	Gross receipts from related activities,	etc. (see instruction	ons)			12	172,213,843.
	First five years. If the Form 990 is for	•	,			n 501(c)(3)	
	organization, check this box and stor	here					
Sec	ction C. Computation of Publ						
14	Public support percentage for 2015 (l	ine 6, column (f) di	vided by line 11, co	olumn (f))		14	68.46 %
15	Public support percentage from 2014	Schedule A, Part	II, line 14			15	81.79 %
16a	33 1/3% support test - 2015. If the o	organization did no	t check the box on	line 13, and line 1	4 is 33 1/3% or n	nore, check this bo	x and
	stop here. The organization qualifies	as a publicly supp	orted organization				<b>▶</b> x
b	33 1/3% support test - 2014. If the						
	and stop here. The organization qual	ifies as a publicly s	supported organiza	tion			▶□
17a	10% -facts-and-circumstances tes						
	and if the organization meets the "fac						
	meets the "facts-and-circumstances"			-			
b	10% -facts-and-circumstances tes						
	more, and if the organization meets the						
	organization meets the "facts-and-circ	cumstances" test.	The organization q	ualifies as a public	ly supported orga	anization	<b>▶</b> □
18	Private foundation. If the organization	n did not check a l	box on line 13, 16a	<u>, 16b, 17a, or 17b</u>	, check this box a		

### Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Se	ction A. Public Support		,				
Cal	endar year (or fiscal year beginning in)	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")						
2	Gross receipts from admissions,						
	merchandise sold or services per- formed, or facilities furnished in						
	any activity that is related to the						
	organization's tax-exempt purpose						
3	Gross receipts from activities that						
	are not an unrelated trade or bus-						
	iness under section 513						
4	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
5	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
	Total. Add lines 1 through 5						
7	Amounts included on lines 1, 2, and						
	3 received from disqualified persons						
- 1	Amounts included on lines 2 and 3 received from other than disqualified persons that						
	exceed the greater of \$5,000 or 1% of the						
	amount on line 13 for the year						
	Add lines 7a and 7b						
	Public support. (Subtract line 7c from line 6.)						
	ction B. Total Support	( ) 2044	#1.0040	4 3 0040	( )) 004 4		(n.T.)
	endar year (or fiscal year beginning in)	(a) 2011	<b>(b)</b> 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
	Amounts from line 6  Gross income from interest,						
10	dividends, payments received on						
	securities loans, rents, royalties						
	and income from similar sources						
	Unrelated business taxable income (less section 511 taxes) from businesses						
	Add lines 10a and 10b  Net income from unrelated business						
••	activities not included in line 10b,						
	whether or not the business is						
12	regularly carried on Other income. Do not include gain						
-	or loss from the sale of capital						
12	assets (Explain in Part VI.)						
	<b>Total support.</b> (Add lines 9, 10c, 11, and 12.) <b>First five years.</b> If the Form 990 is for	r the ergenization's	first seemd thir	d fourth or fifth t	av voor oo o cootio	F01(a)(2) argai	nization
'-		· ·			•	. , . , .	
Se	ction C. Computation of Publ	ic Support Pe	rcentage				
	Public support percentage for 2015 (			column (f))		15	%
	Public support percentage from 2014					16	%
	ction D. Computation of Inve						70
	Investment income percentage for 20			ne 13, column (f))		17	%
	Investment income percentage from					18	%
	a 33 1/3% support tests - 2015. If the						
-	more than 33 1/3%, check this box a						
ı	33 1/3% support tests - 2014. If the	=					
	line 18 is not more than 33 1/3%, che						
20	Private foundation. If the organization		-				

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#### Part IV | Supporting Organizations

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

### Section A. All Supporting Organizations

- Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) nurnoses
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
  - b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

	Yes	No
1		
2		
3a		
3b		
3c		
4a		
4b		
4c		
5a		
5b		
5c		
6		
7		
8		
9a		
9b		
9с		
10a		
10b		<u> </u>
90 or 99	90-EZ)	2015

Par	rt IV   Supporting Organizations (continued)			
			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
	below, the governing body of a supported organization?	а		
b	A family member of a person described in (a) above?	b		
С	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	С		
Sec	tion B. Type I Supporting Organizations			
			Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization,			
	describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.			
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.			
Sec	tion C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
_	the supported organization(s).			
Sec	tion D. All Type III Supporting Organizations			
		_	Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
	organization's governing documents in effect on the date of notification, to the extent not previously provided?	-		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).			
3	By reason of the relationship described in (2), did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
800	supported organizations played in this regard.  tion E. Type III Functionally-Integrated Supporting Organizations			
1	Check the box next to the method that the organization used to satisfy the Integral Part Test during the yea(see instructions):  The organization satisfied the Activities Test. Complete line 2 below.			
a b	The organization is the parent of each of its supported organizations. <i>Complete line 3</i> below.			
C	The organization is the parent of each of its supported organizations. Complete line of below.  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).	onei	i	
2	Activities Test. <i>Answer (a) and (b) below.</i>	رداار	Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of		163	140
a	the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify</b>			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	,		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more			
	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the			
	reasons for the organization's position that its supported organization(s) would have engaged in these			
	activities but for the organization's involvement.	,		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
u	trustees of each of the supported organizations? Provide details in <i>Part VI</i> .			
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			
_	of its supported organizations? If "Yes," describe in <i>Part VI</i> the role played by the organization in this regard.			

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Pa	rt V   Type III Non-Functionally Integrated 509(a)(3) Supportin	g Organ	izations	
1	Check here if the organization satisfied the Integral Part Test as a qualifyin	g trust on I	Nov. 20, 1970. <b>See instr</b>	uctions. All
	other Type III non-functionally integrated supporting organizations must co	mplete Se	ctions A through E.	
ect	ion A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)	8		
ect	ion B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
С	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other			
	factors (explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d	3		
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
	see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by .035	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
ect	ion C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions)	6		
7	Check here if the current year is the organization's first as a non-functional	lv-integrate	d Type III supporting org	anization (see

Schedule A (Form 990 or 990-EZ) 2015

instructions).

Sect	on D - Distributions	Current Year		
_1_	Amounts paid to supported organizations to accomplish exe			
2	Amounts paid to perform activity that directly furthers exem	pt purposes of supported		
	organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purpos	ses of supported organization	S	
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in <b>Part VI</b> ). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			
8	Distributions to attentive supported organizations to which t	the organization is responsive	9	
	(provide details in Part VI). See instructions.			
9	Distributable amount for 2015 from Section C, line 6			
10	Line 8 amount divided by Line 9 amount			
Sect	ion E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2015	(iii) Distributable Amount for 2015
_1_	Distributable amount for 2015 from Section C, line 6			
2	Underdistributions, if any, for years prior to 2015			
	(reasonable cause required-see instructions)			
3	Excess distributions carryover, if any, to 2015:			
а				
b				
С				
d	From 2013			
е	From 2014			
f	Total of lines 3a through e			
g	Applied to underdistributions of prior years			
h	Applied to 2015 distributable amount			
i_	Carryover from 2010 not applied (see instructions)			
i_	Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4	Distributions for 2015 from Section D,			
	line 7: \$			
a	Applied to underdistributions of prior years			
b	Applied to 2015 distributable amount			
c	Remainder. Subtract lines 4a and 4b from 4.			
5	Remaining underdistributions for years prior to 2015, if			
	any. Subtract lines 3g and 4a from line 2 (if amount			
	greater than zero, see instructions).			
6	Remaining underdistributions for 2015. Subtract lines 3h			
	and 4b from line 1 (if amount greater than zero, see			
	instructions).			
7	Excess distributions carryover to 2016. Add lines 3j and 4c.			
8	Breakdown of line 7:			
а				
b				
С	Excess from 2013			
d	Excess from 2014			
е	Excess from 2015			

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

## **Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990 .

OMB No. 1545-0047

Employer identification number

AM	ERICAN LUNG ASSOCIATION	13-1632524					
Organization type (check of	Organization type (check one):						
Filers of:	Section:						
Form 990 or 990-EZ	x 501(c)( 3 ) (enter number) organization						
	4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation						
	527 political organization						
Form 990-PF	501(c)(3) exempt private foundation						
	4947(a)(1) nonexempt charitable trust treated as a private foundation						
	501(c)(3) taxable private foundation						
	s covered by the <b>General Rule</b> or a <b>Special Rule.</b> (7), (8), or (10) organization can check boxes for both the General Rule and a Special Rul	e. See instructions.					
	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling	\$5,000 or more (in money or					
property) from any	one contributor. Complete Parts I and II. See instructions for determining a contributor's	s total contributions.					
Special Rules							
sections 509(a)(1) any one contribute	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount, line 1. Complete Parts I and II.	or 16b, and that received from					
year, total contribu	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.						
year, contributions is checked, enter purpose. Do not c	For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the <b>General Rule</b> applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling \$5,000 or more during the year   \$\bigsim \frac{1}{2}\$						
Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).							

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

AMERICAN LUNG ASSOCIATION 13-1632524

Part I	Contributors (see instructions). Use duplicate copies of Part I if additional	al space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
4		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
5		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

523452 10-26-15

AMERICAN LUNG ASSOCIATION 13-1632524

Part I	Contributors (see instructions). Use duplicate copies of Part I if	additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$ 	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Name of organization Employer identification number

AMERICAN LUNG ASSOCIATION

13-1632524

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.				
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
- arti		\$			
(a) No. from	(b)  Description of noncash property given	(c) FMV (or estimate)	(d) Date received		
Part I		(see instructions)			
		\$			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
(5)		\$			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received		
		\$			

Name of orga	nization		Employer identification number
Part III	LUNG ASSOCIATION  Exclusively religious, charitable, etc., contri the year from any one contributor. Complete co completing Part III, enter the total of exclusively religious Use duplicate copies of Part III if additiona	Dlumns (a) through (e) and the follow, charitable, etc., contributions of \$1,000 c	d in section 501(c)(7), (8), or (10) that total more than \$1,000 for bying line entry. For organizations or less for the year. (Enter this info. once.)
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, an	(e) Transfer of gi	ft  Relationship of transferor to transferee
(a) No.	(h) Dumana at vitt	(a) Use of rift	(d) December of how sift is held
Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, an	(e) Transfer of gi	ft  Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_	Transferee's name, address, an	(e) Transfer of gi	ft  Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, an	(e) Transfer of gi	ft  Relationship of transferor to transferee

#### SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

## **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.
Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

Open to Public Inspection

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

•	Section 501(c)(4), (5), or (6) organiza	tions: Complete Part III.			
	ne of organization	and the second s		Emp	loyer identification number
	AMERICAN LU	UNG ASSOCIATION			13-1632524
Pa	art I-A Complete if the org	ganization is exempt un	der section 501(c	) or is a section 527 o	organization.
2	Provide a description of the organize Political expenditures Volunteer hours	·		<b>▶</b> 9	
Pa	art I-B Complete if the org	ganization is exempt un	der section 501(c	)(3).	
1	Enter the amount of any excise tax		•		8
2	Enter the amount of any excise tax	incurred by organization manage	gers under section 495	5	<u> </u>
	If the organization incurred a section				
4a	Was a correction made?				Yes No
	If "Yes," describe in Part IV.				
		ganization is exempt un	<u>`</u>	<u> </u>	` ,` ,
1	Enter the amount directly expended	d by the filing organization for se	ection 527 exempt fund	ction activities > 9	<u> </u>
2	Enter the amount of the filing organ		•		
	exempt function activities				<u> </u>
3	Total exempt function expenditures				
	line 17b				
	Did the filing organization file Form				
5	Enter the names, addresses and er made payments. For each organiza contributions received that were pr political action committee (PAC). If	ation listed, enter the amount paromptly and directly delivered to	id from the filing organ a separate political org	ization's funds. Also enter t ganization, such as a separa	he amount of political
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization.  If none, enter -0

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2015

LHA 532041 10-05-15

Schedule C (Form 990 or 990-EZ) 2015	AMERICAN	LUNG ASS	SOCIATION	504/ )/0)	13-16	32524 Page <b>2</b>
Part II-A Complete if the org	janizatio	on is exer	npt under sectio	n 501(c)(3) and file	ed Form 5/68 (	election under
. $\square$	tion balan	as to an offi	liated avour (and list in	Dort IV acab offiliated	avaun mambaria nar	an address FIN
expenses, and sha		_		Part IV each affiliated	group member's nar	ne, address, Eliv,
		, ,	• /	visiono annh		
3 Check ▶ if the filing organiza	ition check	ed box A ar	nd "limited control" pro	ovisions apply.	( ) F.E	(L) ACCII-LI
		bying Exper leans amou	nditures nts paid or incurred.	)	(a) Filing organization's totals	<b>(b)</b> Affiliated group totals
1a Total lobbying expenditures to influ	uence pub	lic opinion (	grass roots lobbying)			
<b>b</b> Total lobbying expenditures to influ						
c Total lobbying expenditures (add li						
d Other exempt purpose expenditure						
e Total exempt purpose expenditure						
f Lobbying nontaxable amount. Enter						
If the amount on line 1e, column (a) o	וו (ט) וצ.		bying nontaxable am	ount is:		
Not over \$500,000	0.000		the amount on line 1e.	Φ500.000		
Over \$500,000 but not over \$1,000	,		0 plus 15% of the exc			
Over \$1,000,000 but not over \$1,5	,		0 plus 10% of the exc			
Over \$1,500,000 but not over \$17	,000,000		0 plus 5% of the exce	ss over \$1,500,000.		
Over \$17,000,000		\$1,000,0	000.			
g Grassroots nontaxable amount (er						
h Subtract line 1g from line 1a. If zer	o or less, e	enter -0				
i Subtract line 1f from line 1c. If zero	-					
j If there is an amount other than ze	ro on eithe	er line 1h or	line 1i, did the organiz	ation file Form 4720		
reporting section 4911 tax for this	year?					Yes No
(Some organizations t		a section 5	eraging Period Under 01(h) election do not ate instructions for li	have to complete all o	of the five columns I	pelow.
	Lobi	ying Exper	nditures During 4-Yea	ar Averaging Period		_
Calendar year (or fiscal year beginning in)	(a)	2012	<b>(b)</b> 2013	<b>(c)</b> 2014	(d) 2015	(e) Total
2a Lobbying nontaxable amount						<u> </u>
b Lobbying ceiling amount						
(150% of line 2a, column(e))						
c Total lobbying expenditures						
d Grassroots nontaxable amount						
e Grassroots ceiling amount						
(150% of line 2d, column (e))						
f Grassroots lobbying expenditures						

# Schedule C (Form 990 or 990-EZ) 2015 AMERICAN LUNG ASSOCIATION 13-1632524 Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For e	each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description	(2	a)	(b	o)
	e lobbying activity.	Yes	No	Amo	ount
1	During the year, did the filing organization attempt to influence foreign, national, state or				
	local legislation, including any attempt to influence public opinion on a legislative matter				
	or referendum, through the use of:				
	Volunteers?	Х			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Х			
С	Media advertisements?	Х			
d	Mailings to members, legislators, or the public?	Х			24,752.
	Publications, or published or broadcast statements?	Х			11,200.
f	Grants to other organizations for lobbying purposes?	Х			136,000.
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	X			213,278.
	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Х			52,279.
-	Other activities?	X			
	Total. Add lines 1c through 1i				437,509.
	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Х		
	If "Yes," enter the amount of any tax incurred under section 4912				
	If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	504( )	(F)		
Par	t III-A Complete if the organization is exempt under section 501(c)(4), section 504(c)(6)	on 501(c)	(5), or se	ction	
	501(c)(6).		1		
				Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?				
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?		3	ation	
Par	t III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if cities (c) BOTH Port III. A lines 1 and 2 are groupered				
	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes."			L III-A, III	ie 3, is
1	Dues, assessments and similar amounts from members		1		
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political	cal			
	expenses for which the section 527(f) tax was paid).				
а	Current year		2a		
b	Carryover from last year		2b		
С	Total				
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		3		
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exc	cess			
	does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and p	oolitical			
	expenditure next year?		4		
	Taxable amount of lobbying and political expenditures (see instructions)		5		
	t IV Supplemental Information				
	ide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group	list); Part I	I-A, lines 1 a	and 2 (see	
instrı	uctions); and Part II-B, line 1. Also, complete this part for any additional information.				
PART	II-B, LINE 1, LOBBYING ACTIVITIES:				
THE	AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ENGAGE IN A WIDE				
RANG	SE OF ADVOCACY ACTIVITIES TO FURTHER OUR MISSION TO SAVE LIVES BY				
TWDE	ROVING LUNG HEALTH AND PREVENTING LUNG DISEASE. OUR WORK INCLUDES				
EFF?	ORTS TO EDUCATE MEMBERS OF CONGRESS. THEIR STAFF AND THE PUBLIC ON				
ur P C	ALD TO EDUCATE MEMBERS OF CONGRESS, THEIR STAFF AND THE FUBLIC UN				
LUNC	HEALTH ISSUES AND ACCESS TO HEALTHCARE, WE ADVOCATE FOR CLEAN				

532043 10-05-15

Schedule C (Form 990 or 990-EZ) 2015 AMERICAN LUNG ASSOCIATION	13-1632524	Page 4
Part IV Supplemental Information (continued)		
HEALTHY AIR TO REDUCE THE HEALTH IMPACTS OF AIR POLLUTION, WE FOCUS ON		
SUPPORTING THE IMPLEMENTATION AND STRENGTHENING OF THE NATION'S CLEAN		
AIR LAWS. WE STRONGLY SUPPORT THE PUBLIC HEALTH INFRASTRUCTURE AND LUNG		
HEALTH RESEARCH FUNDING INCLUDING FUNDING FOR LUNG CANCER, CHRONIC		
OBSTRUCTIVE PULMONARY DESEASE (COPD), ASTHMA, TUBERCULOSIS AND OTHER		
LUNG DISEASES, FURTHERMORE, THE AMERICAN LUNG ASSOCIATION VOLUNTEERS		
AND STAFF ACTIVELY ADVOCATE IN WASHINGTON, D.C. AND IN THE STATES FOR		
TOBACCO CONTROL LAWS, INCLUDING EFFORTS TO REGULATE TOBACCO PRODUCTS,		
PROMOTE TOBACCO CESSATION AND ELIMINATE EXPOSURE TO SECOND HAND SMOKE.		
_		
_		

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### **SCHEDULE D**

# **Supplemental Financial Statements**

➤ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

➤ Attach to Form 990.

➤ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047 Open to Public

Department of the Treasury Internal Revenue Service

(Form 990)

Name	e of the organization		Employer identification number
	AMERICAN LUNG ASSOCIATION		13-1632524
Par			Is or Accounts. Complete if the
	organization answered "Yes" on Form 990, Part IV, li		
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in	writing that the assets held in donor adv	ised funds
	are the organization's property, subject to the organization's	s exclusive legal control?	Yes No
6	Did the organization inform all grantees, donors, and donor	advisors in writing that grant funds can b	e used only
	for charitable purposes and not for the benefit of the donor	or donor advisor, or for any other purpos	e conferring
Par	t II Conservation Easements. Complete if the or	rganization answered "Yes" on Form 990	, Part IV, line 7.
1	Purpose(s) of conservation easements held by the organization	tion (check all that apply).	
	Preservation of land for public use (e.g., recreation or	education) Preservation of a his	storically important land area
	Protection of natural habitat	Preservation of a ce	rtified historic structure
	Preservation of open space		
2	Complete lines 2a through 2d if the organization held a qual	lified conservation contribution in the form	n of a conservation easement on the last
	day of the tax year.		Held at the End of the Tax Year
а	Total number of conservation easements		2a
b	Total acreage restricted by conservation easements		
С	Number of conservation easements on a certified historic st		
d	Number of conservation easements included in (c) acquired	after 8/17/06, and not on a historic struc	eture
	listed in the National Register		2d
3	Number of conservation easements modified, transferred, re	eleased, extinguished, or terminated by the	ne organization during the tax
	year ▶		
4	Number of states where property subject to conservation ea	asement is located >	
5	Does the organization have a written policy regarding the pe	eriodic monitoring, inspection, handling of	f
	violations, and enforcement of the conservation easements	it holds?	Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting	, handling of violations, and enforcing co	nservation easements during the year
	<b>&gt;</b>		
7	Amount of expenses incurred in monitoring, inspecting, han	ndling of violations, and enforcing conserv	vation easements during the year
	<b>&gt;</b> \$		
8	Does each conservation easement reported on line 2(d) abo	ove satisfy the requirements of section 17	'O(h)(4)(B)(i)
	and section 170(h)(4)(B)(ii)?		Yes No
9	In Part XIII, describe how the organization reports conservat	tion easements in its revenue and expens	se statement, and balance sheet, and
	include, if applicable, the text of the footnote to the organiza	ation's financial statements that describe	s the organization's accounting for
	conservation easements.		
Par	t III Organizations Maintaining Collections of	·	Other Similar Assets.
	Complete if the organization answered "Yes" on Forn	n 990, Part IV, line 8.	
1a	If the organization elected, as permitted under SFAS 116 (A	-	
	historical treasures, or other similar assets held for public ex	,	rance of public service, provide, in Part XIII,
	the text of the footnote to its financial statements that descri		
b	If the organization elected, as permitted under SFAS 116 (A	SC 958), to report in its revenue stateme	nt and balance sheet works of art, historical
	treasures, or other similar assets held for public exhibition, e	education, or research in furtherance of p	ublic service, provide the following amounts
	relating to these items:		
	(i) Revenue included on Form 990, Part VIII, line 1		
			-
2	If the organization received or held works of art, historical tre	easures, or other similar assets for financ	ial gain, provide
	the following amounts required to be reported under SFAS		
	Revenue included on Form 990, Part VIII, line 1		
	Assets included in Form 990, Part X		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. 532051 11-02-15

Schedule D (Form 990) 2015

		NG ASSOCIATION				13-163			age <b>2</b>
Par	<u> </u>		•				•		
	Using the organization's acquisition, accession	on, and other record	s, check any of the	following that	are a sig	nificant use of it	s collection	n item	IS
	(check all that apply):								
а	Public exhibition	d		nange progra					
b	Scholarly research	е	Other						
С	Preservation for future generations								
4	Provide a description of the organization's co	llections and explair	n how they further th	ne organizatio	n's exem	pt purpose in P	art XIII.		
5	During the year, did the organization solicit or	receive donations of	of art, historical treas	sures, or othe	r similar a	ssets			_
	to be sold to raise funds rather than to be ma						Yes		No
Par	IV Escrow and Custodial Arrang		te if the organization	n answered "	Yes" on F	orm 990, Part I	V, line 9, c	r	
	reported an amount on Form 990, Par	t X, line 21.							
1a	Is the organization an agent, trustee, custodi	an or other intermed	iary for contribution	s or other ass	ets not ir	ıcluded			
	on Form 990, Part X?						Yes		□No
	If "Yes," explain the arrangement in Part XIII								
							Amour	nt	
С	Beginning balance					1c			
	Additions during the year					1d			
	Distributions during the year					1e			
	Ending balance					1f			
	Did the organization include an amount on Fo						Yes		No
	If "Yes," explain the arrangement in Part XIII.								j
Par						).			
		(a) Current year	(b) Prior year	(c) Two years		) Three years bac	k <b>(e)</b> Fou	ır vears	hack
1.	Beginning of year balance	1,237,670.	1,405,053.	1,201	•	1,030,80		.,099	
	Contributions	1,237,070.	1,405,055.	1,201	, 239.	1,030,80	,	,033	,004
		06.040	F0 400	204	224	050 400	,	0.2	0.7.0
	Net investment earnings, gains, and losses	-26,949.	-59,489.		,334.	259,40			972
	Grants or scholarships	92,182.	98,509.	95	,089.	77,21	3.	34	318
	Other expenditures for facilities								
	and programs	_							
	Administrative expenses	4,523.	9,385.		,451.	11,73			585
_	End of year balance	1,114,016.	1,237,670.	1,405	,053.	1,201,25	9. 1	,030	809
	Provide the estimated percentage of the curr	ent year end balanc	e (line 1g, column (a	)) held as:					
а	Board designated or quasi-endowment		_%						
b	Permanent endowment  44.88	<u></u> %							
С	Temporarily restricted endowment 🕨	55.12 %							
	The percentages on lines 2a, 2b, and 2c sho	uld equal 100%.							
3a	Are there endowment funds not in the posse	ssion of the organiza	ation that are held a	nd administer	ed for the	organization			
	by:							Yes	No
	(i) unrelated organizations						3a(i)		Х
	(ii) related organizations						3a(ii)		Х
b	If "Yes" on line 3a(ii), are the related organiza	tions listed as requir	ed on Schedule R?				3b		
	Describe in Part XIII the intended uses of the							·	
	VI Land, Buildings, and Equipm								
	Complete if the organization answered		. Part IV. line 11a. S	ee Form 990.	Part X. li	ne 10.			
	Description of property	(a) Cost or of	<del>' 1</del> '	T T		umulated	(d) Boo	ık valıı	۹
	besomption of property	basis (investm				eciation	(u) DO	n valu	-
16	Land	<u> </u>	,	,	асрі				
	Land								
	Buildings								
	Leasehold improvements			231,008.		175,873.			135
Ы	Fauipment	1	1	742 716		635 067		107	649

Schedule D (Form 990) 2015

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)

167,095.

13-1632524

Part VII	Investments -	Other	Securities.
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Complete if the organization answe	red "Yes"	on Form 990, Part IV, line	11b. See Form 990, Part X, line 12.
ation of accurity or actogory		(In) Dools value	(a) Mothad of valuation. Coat a

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total (Col (h) must equal Form 990 Part Y col (R) line 12 )		

#### Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

#### Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) BENEFICIAL INTEREST IN PERPETUAL TRUSTS	3,597,239.
(2) AMOUNTS HELD ON BEHALF OF OTHERS	1,550,595.
(3)	
(4)	
(5)	
(6)	
(8)	
(9)	
Total, (Column (b) must equal Form 990, Part X, col. (B) line 15.)	<b>&gt;</b> 5 147 834.

#### Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

<u>1.                                    </u>	(a) Description of liability	(b) Book value
(1)	Federal income taxes	
(2)	PENSION & LIFE INSURANCE BENEFITS	5,962,343.
(3)	DUE TO CHARTERED ASSOC.	236,176.
(4)	AMOUNTS HELD ON BEHALF OF OTHERS	1,550,595.
(5)	ANNUITY FUND INVESTMENTS	63,049.
(6)	OTHER LIABILITIES	1,759,357.
(7)		
(8)		
(9)		
Total.	(Column (b) must equal Form 990, Part X, col. (B) line 25.)	9,571,520.

<sup>2.</sup> Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII x

Schedule D (Form 990) 2015

		V II 40			
	Complete if the organization answered "Yes" on Form 990, Part I				
	Total revenue, gains, and other support per audited financial statements	s		1	52,011,862.
	Amounts included on line 1 but not on Form 990, Part VIII, line 12:	i i			
	Net unrealized gains (losses) on investments		-408,788.		
	Donated services and use of facilities		10,929,701.		
	Recoveries of prior year grants				
	Other (Describe in Part XIII.)		-1,349,889.		
	Add lines 2a through 2d			2e	9,171,024.
	Subtract line 2e from line 1			3	42,840,838.
	Amounts included on Form 990, Part VIII, line 12, but not on line 1:	i i			
	Investment expenses not included on Form 990, Part VIII, line 7b				
b	Other (Describe in Part XIII.)	4b			
	Add lines 4a and 4b			4c	0.
	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line			5	42,840,838.
Par	t XII Reconciliation of Expenses per Audited Financia		Expenses per	Return	•
	Complete if the organization answered "Yes" on Form 990, Part I		1		
	Total expenses and losses per audited financial statements			1	50,436,981.
	Amounts included on line 1 but not on Form 990, Part IX, line 25:	1 1			
	Donated services and use of facilities		10,929,701.		
b	Prior year adjustments				
С	Other losses				
	Other (Describe in Part XIII.)				
	Add lines 2a through 2d			2e	10,929,701.
3	Subtract line 2e from line 1			3	39,507,280.
	Amounts included on Form 990, Part IX, line 25, but not on line 1:	1 1			
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII.)	4b			
С	Add lines 4a and 4b			4c	0.
5	T.				
	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, lines 1)		ĺ	5	39,507,280.
Par	t XIII Supplemental Information.	ne 18.)			
<b>Par</b> Provid	t XIII Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a	ne 18.)and 4; Part IV, lines 1b	and 2b; Part V, line 4		
<b>Par</b> Provid	t XIII Supplemental Information.	ne 18.)and 4; Part IV, lines 1b	and 2b; Part V, line 4		
<b>Par</b> Provid	t XIII Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a	ne 18.)and 4; Part IV, lines 1b	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provi	ne 18.)and 4; Part IV, lines 1b	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information. de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a	ne 18.)and 4; Part IV, lines 1b	and 2b; Part V, line 4		
Par Provio ines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Provio ines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provi	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Providines 2	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED	ne 18.)and 4; Part IV, lines 1b de any additional inforn	and 2b; Part V, line 4		
Par Providines 2 PART PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED	ne 18.)	and 2b; Part V, line 4		
Par Providines 2 PART PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.	ne 18.)	and 2b; Part V, line 4		
Part PPERM PERM PERM PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.	ne 18.)  and 4; Part IV, lines 1b de any additional inform  TO SUPPORTING  HARTFORD	and 2b; Part V, line 4		
Part PPERM PERM PERM PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.	ne 18.)  and 4; Part IV, lines 1b de any additional inform  TO SUPPORTING  HARTFORD	and 2b; Part V, line 4		
Part Part Part Part Part Part Part Part	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.	ne 18.)  and 4; Part IV, lines 1b de any additional inform  TO SUPPORTING  HARTFORD  TIONAL OFFICE	and 2b; Part V, line 4		
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Part Provide 2 PART PERM PERM PERM PERM PERM PERM PERM PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR	and 2b; Part V, line 4		
Part Provide 1 Part Part Part Part Part Part Part Part	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE  RESTITIAL LUNG DISEASE, THE EARNINGS FROM THE CORPUS AR	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR  E TO FUND AN	and 2b; Part V, line 4		
Part Provide 1 Part Part Part Part Part Part Part Part	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR  E TO FUND AN	and 2b; Part V, line 4		
Part Providines 2 PART PART PERM PART PERM WITH INTE	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE  RESTITIAL LUNG DISEASE. THE EARNINGS FROM THE CORPUS AR  AL RESEARCH AWARD IN A MINIMAL AMOUNT OF \$30,000. THE	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR E TO FUND AN  TERM OF EACH	and 2b; Part V, line 4		
Part Providines 2 PART PART PERM PART POLITION WITH INTE	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE  RESTITIAL LUNG DISEASE, THE EARNINGS FROM THE CORPUS AR	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR E TO FUND AN  TERM OF EACH	and 2b; Part V, line 4		
Part Provide S PART PERM PERM PERM PERM PERM PERM PERM PERM	t XIII Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid  IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED  ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE  RESTITIAL LUNG DISEASE. THE EARNINGS FROM THE CORPUS AR  AL RESEARCH AWARD IN A MINIMAL AMOUNT OF \$30,000. THE	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR  E TO FUND AN  TERM OF EACH	and 2b; Part V, line 4		
Part Providines 2  PART PERM PERM PERM PERM PERM PERM PERM PERM	t XIII   Supplemental Information.  de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a a 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provid IV, LINE 2B:  ANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED ARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES.  EMER ENDOWMENT: IN 1983, LEONARD DALSEMER, THE JOHN A.  DATION, AND THE WHEELABRATOR FOUNDATION ENDOWED THE NA  \$500,000 (THE CORPUS) TO FUND RESEARCH TO FIND A CURE RESTITIAL LUNG DISEASE. THE EARNINGS FROM THE CORPUS AR  AL RESEARCH AWARD IN A MINIMAL AMOUNT OF \$30,000. THE  D IS THREE YEARS, ANNUAL EXPENDITURES ARE LIMITED TO 6	ne 18.) and 4; Part IV, lines 1b de any additional inform TO SUPPORTING  HARTFORD  TIONAL OFFICE  FOR  E TO FUND AN  TERM OF EACH	and 2b; Part V, line 4		

	(Form 990) 2015 AMERICAN LUNG ASSOCIATION	13-1632524	Page <b>5</b>
Part XIII	Supplemental Information (continued)		
AWARD IS	ONLY TO BE CONFERRED WHEN THE REVIEW COMMITTEE DEEMS THE PROPOSED		
RESEARCH	MERITORIOUS.		
MARY FULL	ER RUSSELL RESEARCH FUND: IN A SETTLEMENT ENTERED BY AMERICAN		
LUNG ASSO	CIATION OF NEW HAMPSHIRE (ALANH) AND NATIONAL OFFICE (ALA)ON JULY		
9, 2003,	ALANH AGREED TO SET ASIDE, AS A SEGREGATED FUND, WITHIN ITS		
ENDOWMENT	FUND, THE SUM OF \$1,297,643 REPRESENTING ALA'S 10% SHARE, AND TO		
MAINTAIN	SUCH SEGREGATED FUND INTACT FOR THE PURPOSE OF PAYING TO AND		
ALLOWING	ALA TO USE ALL THE INCOME AND THE ANNUAL NET APPRECIATION, IF		
ANY, IN T	HE FAIR VALUE OF THE SEGREGATED FUND FOR RESEARCH PURPOSES		
DETERMINE	D BY ALA. UNDER THE SETTLEMENT, SUCH SEGREGATED FUND IS TO BE		
HELD FOR	ALA'S BENEFIT IN PERPETUITY. ALANH AND ALA AGREED THAT		
DISTRIBUT	IONS FROM THE MARY FULLER RUSSELL RESEARCH FUND SHALL BE USED TO		
SUPPORT R	ESEARCH INTO LUNG HEALTH ISSUES, AND THAT RESEARCH GRANTS TO		
RECIPIENT	S SHALL BE MADE UNDER THE NAME MARY FULLER RUSSELL RESEARCH FUND.		
PART X, L	INE 2:		
NATIONAL	OFFICE IS DESIGNATED AS A NON-PROFIT ORGANIZATION AND IS EXEMPT		
FROM INCO	ME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. IT		
HAS BEEN	CLASSIFIED AS AN ORGANIZATION THAT IS NOT A PRIVATE FOUNDATION.		
THEREFORE	, CHARITABLE CONTRIBUTIONS ARE TAX DEDUCTIBLE.		
PART XI,	LINE 2D - OTHER ADJUSTMENTS:		
CHANGE IN	FAIR VALUE OF BENEFICIAL INTEREST IN TRUSTS -118,641.		
CHANGE IN	VALUE OF SPLIT INTEREST TRUSTS 7,098.		
PENSION A	ND POST RETIREMENT PLAN CHANGES -1,238,346.		
TOTAL TO	SCHEDULE D, PART XI, LINE 2D -1,349,889.		

### **SCHEDULE G** (Form 990 or 990-EZ)

#### **Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990. Inspection

Name of the organization					' '	nuncation number
Francisco Astivitica	UNG ASSOCIATION				13-1632524	
Part I required to complete this par	<ul> <li>Complete if the organization answet.</li> </ul>	ered "Y	es" or	n Form 990, Part IV,	line 17. Form 990-EZ	filers are not
<b>b</b> If "Yes," list the ten highest paid ind	e Solicitat f Solicitat g Special  or oral agreement with any individual eart VII) or entity in connection with p ividuals or entities (fundraisers) purs	tion of tion of fundra (includerofess	non-govern govern dising of ding of ional f	overnment grants nment grants events fficers, directors, true undraising services?	stees or	
(i) Name and address of individual or entity (fundraiser)	e organization.  (ii) Activity	(iii) fundr have c or con contrib	Did aiser ustody trol of utions?	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
NNE MARKETING - 1666		Yes	No			
MASSACHUSETTS AVE. SUITE 14,	DIRECT MAIL		Х	21,638,568.	14,347,508.	7,291,060.
INFOCISION MANAGEMENT CORP - 325 SPRINGSIDE DR., AKRON, OH	RESIDENTIAL		Х	952,523.	736,246.	216,278.
INFOCISION MANAGEMENT CORP -					-	
325 SPRINGSIDE DR., AKRON, OH	TELEMARKETING		Х	350,307.	221,714.	128,593.
3 List all states in which the organization	on is registered or licensed to solicit o		<b>▶</b>	22,941,398. s or has been notified		
or licensing.	T TD TI TN T3 770 777 T3 V	D 343	MT	I MG MO		
AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,H MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,O: DC				•		
						_

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

SEE PART IV FOR CONTINUATIONS

Schedule G (Form 990 or 990-EZ) 2015

532081 09-14-15

		of fundraising event contributions and gr						ots greater than \$5,000.
			(a) Event #1	(	b) Event #2	(0	) Other events	(d) Total events
e S								(add col. (a) through
			(	,			(4 - 4 - 1 · · · · · · · · · · · · · · · ·	col. <b>(c)</b> )
			(event type)	(	event type)		(total number)	
Revenue								
Вè	1	Gross receipts						
	_							
	2	Less: Contributions						
	3	Gross income (line 1 minus line 2)						
		Gross moonie (inte i minds inte 2)						
	4	Cash prizes						
		•						
	5	Noncash prizes						
ses								
Sen	6	Rent/facility costs						
Ä								
Direct Expenses	7	Food and beverages						
⊡								
	8	Entertainment						
	9	Other direct expenses						
	10 11	Direct expense summary. Add lines 4 through Net income summary. Subtract line 10 from li					······	
Pa		III Gaming. Complete if the organization		n 990, F	Part IV, line 19, or	repor	ted more than	l .
		\$15,000 on Form 990-EZ, line 6a.						
Φ			(a) Bingo		Pull tabs/instant	10	) Other gaming	(d) Total gaming (add
Revenue			(a) Billigo	bingo/	progressive bingo	,,	y out or garming	col. (a) through col. (c))
Rev								
	1	Gross revenue						
	_	Cook aviens						
ses	2	Cash prizes						
pen	3	Noncash prizes						
Direct Expenses	Ŭ							
irec	4	Rent/facility costs						
□								
	5	Other direct expenses						
			Yes %	<u> </u> '	/es %		Yes %	
	6	Volunteer labor	└── No	<u> </u>	No		No	
							_	
	7	Direct expense summary. Add lines 2 through	n 5 in column (d)				<b>&gt;</b>	
		Net gaming income summary. Subtract line 7	from line 1 column (d)				_	
	8	Net garning income summary. Subtract line 7	from line 1, column (a)					
9	Enf	ter the state(s) in which the organization condu	ucts gaming activities:					
		the organization licensed to conduct gaming a						Yes No
		No," explain:						
		ere any of the organization's gaming licenses re				year?		. Yes No
b	If "	Yes," explain:						
	_							
5320	32 09	9-14-15					Schedule G (Fo	rm 990 or 990-EZ) 2015

Schedule G (Form 990 or 990-EZ) 2015 AMERICAN LUNG ASSOCIATION	13-163	2524	Page 3
11 Does the organization conduct gaming activities with nonmembers?		Yes	☐ No
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed			
to administer charitable gaming?		Yes	☐ No
13 Indicate the percentage of gaming activity conducted in:			
a The organization's facility		13a	%
<b>b</b> An outside facility		13b	%
14 Enter the name and address of the person who prepares the organization's gaming/special events books and reco			
Name ▶			
Address >			
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?		Yes	□ No
<b>b</b> If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the am	ount		
of gaming revenue retained by the third party $\blacktriangleright$ \$	Junt		
c If "Yes," enter name and address of the third party:			
the rest, effect hame and address of the time party.			
Name			
Address ►			
16 Gaming manager information:			
Name			
Name P			
Gaming manager compensation  \$			
Description of services provided			
Director/officer Employee Independent contractor			
17 Mandatory distributions:			
a Is the organization required under state law to make charitable distributions from the gaming proceeds to			
retain the state gaming license?		Yes	☐ No
<b>b</b> Enter the amount of distributions required under state law to be distributed to other exempt organizations or spen		•	
organization's own exempt activities during the tax year ▶ \$			
<b>Part IV</b> Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).	Part III, lir	nes 9, 9b, 1	0b, 15b,
PART I, LINE 2B, COLUMN (V):			
THE AMERICAN LUNG ASSOCIATION'S NATIONAL OFFICE PROVIDES SUPPORT FOR			
CHARTERED AMERICAN LUNG ASSOCIATIONS' DIRECT MAIL, RESIDENTIAL CAMPAIGNS,			
AND TELEMARKETING. AS PART OF THIS SUPPORT, THE AMERICAN LUNG ASSOCIATION			
(NATIONAL) CONTRACTS WITH PROFESSIONAL FUNDRAISERS TO DEVELOP FUNDRAISING			
STRATEGIES ON THESE INITIATIVES. REVENUES FROM DIRECT RESPONSE,			
RESIDENTIAL CAMPAIGN AND TELEMARKETING CAMPAIGNS ARE DISTRIBUTED TO THE			
CHARTERED AMERICAN LUNG ASSOCIATIONS, AND EACH RESPECTIVE CHARTER			
REIMBURSES NATIONAL FOR THEIR ALLOCABLE PORTION OF EXPENSES RELATED TO			
532083 09-14-15 <b>Schedul</b> e	G (Form	990 or 990	)- <b>EZ</b> ) 2015

Schedule G (Form 990 or 990-EZ) AMERICAN LUNG ASSOCIATION	13-1632524	Page 4
Part IV Supplemental Information (continued)		
EACH FUNDRAISING CAMPAIGN.		

## SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

2015

OMB No. 1545-0047

Open to Public

Department of the Treasury Internal Revenue Service ► Attach to Form 990.

Inspection

AMERICAN LUNG	ASSOCTATION						13-1632524
Part I General Information on Grants a							13 1002021
Does the organization maintain records	to substantiate th	e amount of the grant	s or assistance, the	grantees' eligibility	v for the grants or as	sistance, and the selec	tion
criteria used to award the grants or assi							X Yes No
2 Describe in Part IV the organization's pr							
Part II Grants and Other Assistance to	Domestic Organ	izations and Domest	ic Governments. C	omplete if the orga	anization answered "	Yes" on Form 990, Part	IV, line 21, for any
recipient that received more than	_					,	, ,
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALA OF MID-ATLANTIC							
3001 OLD GETTYSBURG RD.							
CAMP HILL, PA 17011	25-1825116	501(C)(3)	288,367.	0.			FED. & PROG. GRANTS
ALA OF MIDLAND STATES 1950 ARLINGATE LANE							
COLUMBUS, OH 43228	31-4379531	501(C)(3)	129,699.	0.			FED. & PROG. GRANTS
ALA OF MOUNTAIN PACIFIC 7420 S. BRIDGEPORT RD, SUITE 200 TIGARD, OR 97224	93-0386887	501(C)(3)	76,827.	0.			FED. & PROG. GRANTS
		( . ) ( . )	, . =				
ALA OF CALIFORNIA 333 HEGENBERGER RD, SUITE 450							
OAKLAND, CA 94621	94-0362650	501(C)(3)	141,901.	0.			FED. & PROG. GRANTS
ALA OF THE NORTHEAST 21 WEST 38TH ST.							
NEW YORK, NY 10018	06-0646594	501(C)(3)	126,310.	0.			FED. & PROG. GRANTS
ALA OF THE SOUTHEAST 6852 BELFORT OAKS PLACE							
JACKSONVILLE, FL 32216	59-0662271	•	97,763.	0.			FED. & PROG. GRANTS
2 Enter total number of section 501(c)(3) a  3 Enter total number of other organization	•	•	ne line 1 table				

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash assistance or assistance non-cash (book, FMV, assistance appraisal, other) ALA OF THE SOUTHWEST 5600 GREENWOOD PLAZA BLVD. SUITE 1 86-0111676 501(C)(3) 0 GREENWOOD VILLAGE, CO 80111 227,956 FED. & PROG. GRANTS ALA OF THE UPPER MIDWEST 3000 KELLY LANE 20-4392201 501(C)(3) SPRINGFIELD, IL 62707 324 230 FED. & PROG. GRANTS ALBANY MEDICAL COLLEGE 47 NEW SCOTLAND AVE. 14-1338310 501(C)(3) ALBANY, NY 12208 40,000 0 RESEARCH BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TX 77030 74-1613878 501(C)(3) 215,100 0 RESEARCH CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE. 34-1018992 501(C)(3) 40,000 CLEVELAND, OH 44106 0 RESEARCH COLD SPRING HARBOR LABORATORY 1 BUNGTOWN RD. 11-2011303 501(C)(3) 100,000 0 COLD SPRING HARBOR, NY 11724 RESEARCH COLUMBIA UNIVERSITY 615 W. 131ST ST. NEW YORK, NY 10027 15-5598093 501(C)(3) 131,800 0 RESEARCH CORNELL UNIVERSITY 575 LEXINGTON AVE. 13-1623978 501(C)(3) 40,000 NEW YORK, NY 10022 0 RESEARCH DUKE UNIVERSITY BOX 104132 DURHAM, NC 27708 56-0532129 501(C)(3) 98 700 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash assistance or assistance non-cash (book, FMV, assistance appraisal, other) GEORGIA REGENTS UNIVERSITY 1120 15TH ST. 58-6002053 501(C)(3) 0 AUGUSTA, GA 30912 40,000 RESEARCH JOHNS HOPKINS UNIVERSITY 1101 E 33RD ST. D200 52-0595110 501(C)(3) BALTIMORE, MD 21218 984 944 RESEARCH LOUISIANA STATE UNIVERSITY HEALTH SCIENCES CENTER - 433 BOLIVAR ST. 72-6087770 501(C)(3) - NEW ORLEANS, LA 70112 94,500 0 RESEARCH LOVELACE RESPIRATORY RESEARCH INSTITUTE - 2425 RIDGECREST DR. -ALBUQUERQUE, NM 87108 85-0110669 501(C)(3) 40,000 0 RESEARCH MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT ST. 04-1564655 501(C)(3) 317,500 0 RESEARCH BOSTON, MA 02114 NATIONAL JEWISH MEDICAL AND RESEARCH CENTER - 1400 JACKSON ST. - DENVER, CO 80206 74-2044647 501(C)(3) 119,700 0 RESEARCH NEMOURS CHILDREN CLINC 10140 CENTURION PARKWAY NORTH JACKSONVILLE, FL 32256 59-0634433 501(C)(3) 78,750 0 RESEARCH NEW YORK MEDICAL COLLEGE WORMEN'S AND CHILDREN CENTER - 40 SUNSHINE COTTAGE RD. - VALHALLA, NY 10595 13-1099420 501(C)(3) 95,250 0 RESEARCH NORTH SHORE LONG ISLAND JEWISH 300 COMMUNITY DR. MANHASSET, NY 11030 11-1562701 501(C)(3) 83 150 0 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (e) Amount of (f) Method of (b) EIN (c) IRC section (d) Amount of (g) Description of (h) Purpose of grant organization or government if applicable cash grant valuation non-cash assistance or assistance non-cash assistance (book, FMV, appraisal, other) NORTHWESTERN UNIVERSITY 619 CLARK ST. 36-2167817 501(C)(3) 0 EVANSTON, IL 60208 193,400 RESEARCH NYU SCHOOL OF MEDICINE 545 FIRST AVE. 13-5562308 501(C)(3) NEW YORK, NY 10016 49,500 RESEARCH OREGON HEALTH & SCIENCE UNIVERSITY 3181 W. SAM JACKSON PARK RD. 93-1176109 501(C)(3) PORTLAND, OR 97239 100,000 0 RESEARCH REGENTS OF THE UNIVERSITY OF CALIFORNIA DAVIS - 1850 RESEARCH PARK DR. SUITE 300 - DAVIS, CA 95618 94-6036494 501(C)(3) 40,000 0 RESEARCH REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN FRANCISCO - 1855 FOLSOM ST. - SAN FRANCISCO, CA 94-6036493 501(C)(3) 100,000 0 RESEARCH 94143 REGENTS OF UNIVERSITY OF MICHIGAN 5082 WOLVERINE TOWER, 3003 S. STAT 38-6006309 501(C)(3) 40.000 0 ANN ARBOR, MI 48109 RESEARCH ROSALIND FRANKLIN UNIVERSITY 333 GREEN BAY RD. NORTH CHICAGO, IL 60046 36-2181973 501(C)(3) 40,000 0 RESEARCH RUTGERS UNIVERSITY SCHOOL OF PUBLIC HEALTH - 65 DAVIDSON RD. -46-2354111 501(C)(3) 133,350 PISCATAWAY, NJ 08854 0 RESEARCH SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH - 1275 YORK AVE. BOX 070 - NEW YORK, NY 10065 13-1924236 501(C)(3) 150 000 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash non-cash assistance or assistance (book, FMV, assistance appraisal, other) ST. VINCENT OF INDIANA 1 HOSPITAL DR. 35-0869066 501(C)(3) 0 INDIANAPOLIS, IN 46260 82,250 RESEARCH STANFORD UNIVERSITY 3145 PORTER DR. 94-1156365 501(C)(3) PALO ALTO, CA 94304 200,000 0 RESEARCH THE OHIO STATE UNIVERSITY RESEARCH FOUNDATION - 1960 KENNY RD. -31-6401599 501(C)(3) COLUMBUS, OH 43210 140,000 0 RESEARCH TRUSTEES OF DARTMOUTH COLLEGE 11 ROPE FERRY RD. HANOVER, NH 03755 02-0222111 501(C)(3) 99,962 0 RESEARCH TUFTS UNIVERSITY 169 HOLLAND ST. 04-2103634 501(C)(3) 40,000 0 RESEARCH MEDFORD, MA 02144 UNIVERSITY MEDICAL OF SOUTH FLORIDA - TAMPA - 3802 SPECTRUM 59-2959590 501(C)(3) 50,650 0 BLVD. - TAMPA, FL 33612 RESEARCH UNIVERSITY OF ARIZONA PO BOX 3308 TUCSON, AZ 85922 74-2652689 501(C)(3) 138,111 0 RESEARCH UNIVERSITY OF ILLINOIS PO BOX 20787 37-6000511 501(C)(3) 80,000 SPRINGFIELD, IL 62708 0 RESEARCH UNIVERSITY OF IOWA 85 JESSUP HALL IOWA CITY, IA 52242 42-6004603 501(C)(3) 75 000 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash assistance or assistance non-cash (book, FMV, assistance appraisal, other) UNIVERSITY OF MASSACHUSETTS 333 SOUTH ST. 04-3167352 501(C)(3) 0 SHREWSBURY, MA 01545 40,000 RESEARCH UNIVERSITY OF MIAMI PO BOX 025405 59-0624458 501(C)(3) MIAMI, FL 33102 50,000 RESEARCH UNIVERSITY OF MISSOURI - KANSAS CITY - 5100 ROCKHILL RD, AC 202 -43-6003859 501(C)(3) KANSAS CITY, MO 64110 86,150 0 RESEARCH UNIVERSITY OF PITTSBURGH OFFICE OF FINANCIAL INFORMATION PITTSBURGH, PA 15260 25-0965591 501(C)(3) 80,000 0 RESEARCH UNIVERSITY OF SOUTH ALABAMA 307 UNIVERSITY BLVD. 63-0477348 501(C)(3) 40,000 MOBILE, AL 36688 0 RESEARCH UNIVERSITY OF TENNESSEE HEALTH SCIENCE CENTER - 62 S. DUNLAP <u>SUITE 300 - MEMPHIS, TN 38163</u> 62-6001636 501(C)(3) 40.000 0 RESEARCH UNIVERSITY OF TEXAS - MEDICAL BRANCH AT GALVESTON - 301 UNIVERSITY BLVD. - GALVESTON, TX 77555 74-6000949 501(C)(3) 40,000 0 RESEARCH UNIVERSITY OF TEXAS - HEALTH SCIENCE CENTER OF HOUSTON - 7300 40,000 FANNIN ST. - HOUSTON, TX 77030 74-1761309 501(C)(3) 0 RESEARCH UNIVERSITY OF VERMONT 85 SOUTH PROSPECT ST. BURLINGTON VT 05405 03-0179440 501(C)(3) 99 350 0 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash assistance or assistance non-cash (book, FMV, assistance appraisal, other) UNIVERSITY OF VIRGINIA PO BOX 400202 54-6001796 501(C)(3) 0 CHARLOTTESVILLE, VA 22904 184,550 RESEARCH VETERANS MEDICAL RESEARCH FOUNDATION OF SAN DIEGO - 3550 LA JOLLA VILLAGE DR. - SAN DIEGO, CA 92161 33-0189397 501(C)(3) 100 000 RESEARCH WASHINGTON UNIVERSITY SCHOOL OF MEDICINE - 902 YALEM, BOX 8052 660 S. EUCLID AVE. - ST. LOUIS, MO 43-0653611 501(C)(3) 63110 88,500 0 RESEARCH YALE UNIVERSITY 2 WHITNEY AVE. 6TH FL. NEW HAVEN, CT 06510 06-0646973 501(C)(3) 40,000 0 RESEARCH REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN DIEGO - 9500 GILMAN 95-6006144 501(C)(3) 100,000 0 RESEARCH DR. - LA JOLLA, CA 92093 ALBERT EINSTEIN COLLEGE OF MEDICINE - 1300 MORRIS PARK AVE. -BRONX, NY 10461 47-2209056 501(C)(3) 75.000 0 RESEARCH AMERICAN THORACIC SOCIETY 25 BROADWAY, 18TH FLOOR NEW YORK, NY 10004 20-2138855 501(C)(3) 20,000 0 RESEARCH BRIGHAM AND WOMEN'S HOSPITAL 75 FRANCIS ST. 04-2312909 501(C)(3) 115,000 BOSTON, MA 02115 0 RESEARCH BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVE. BOSTON, MA 02115 04-2774441 501(C)(3) 32 500 RESEARCH

Part II | Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (f) Method of (b) EIN (c) IRC section (d) Amount of (e) Amount of (g) Description of (h) Purpose of grant valuation organization or government if applicable cash grant non-cash assistance or assistance non-cash (book, FMV, assistance appraisal, other) DREXEL UNIVERSITY 3201 ARCH ST. SUITE 420 23-1352630 501(C)(3) 40,000 0 PHILADELPHIA, PA 19104 RESEARCH HARVARD UNIVERSITY 1033 MASSACHUSETTS AVE. 04-2103580 501(C)(3) CAMBRIDGE, MA 02138 40,000 RESEARCH LA JOLLA INSTITUTE FOR ALLERGY AND IMMUNOLOGY - 9420 ATHENA CIRCLE -33-0328688 501(C)(3) 32,500 LA JOLLA, CA 92037 0 RESEARCH LUNG CANCER RESEARCH FOUNDATION 155 EASH 55TH ST, SUITE 6H NEW YORK, NY 10022 14-1935776 501(C)(3) 65,417 0 RESEARCH MAYO CLINIC 200 FIRST ST. SW 41-6011702 501(C)(3) 32,500 ROCHESTER, MN 55905 0 RESEARCH NORTHEASTERN UNIVERSITY 360 HUNTINGTON AVE. BOSTON, MA 02115 04-1679980 501(C)(3) 100 000 0 RESEARCH PENNSYLVANIA STATE UNIVERSITY 408 OLD MAINE 24-6000376 501(C)(3) UNIVERSITY PARK, PA 16802 40,000 0 RESEARCH TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA - 3451 WALNUT ST. -100,000 PHILADELPHIA, PA 19104 23-1352685 501(C)(3) 0 RESEARCH UNIVERSITY OF COLORADO AMC BLDG. 500 13001 E. 7TH PL. AURORA, CO 80045 84-6000555 501(C)(3) 32 500 RESEARCH

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (g) Description of (h) Purpose of grant (e) Amount of (f) Method of if applicable organization or government cash grant non-cash valuation non-cash assistance or assistance (book, FMV, assistance appraisal, other) UNIVERSITY OF TEXAS AT DALLAS 800 W. CAMPBELL RD. 75-1305566 501(C)(3) 0 RICHARDSON, TX 75080 100,000. RESEARCH UNIVERSITY OF UTAH 201 S. PRESIDENTS CIRCLE 87-6000525 501(C)(3) 40,000. RESEARCH SALT LAKE CITY, UT 84112

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III Part III can be duplicated if additional space is needed. (a) Type of grant or assistance (b) Number of (c) Amount of (d) Amount of non-(f) Description of non-cash assistance (e) Method of valuation (book, FMV, appraisal, other) cash assistance recipients cash grant Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information. PART I, LINE 2: AWARD RECIPIENTS ARE REQUIRED TO SUBMIT A RENEWAL APPLICATION AFTER THEIR FIRST YEAR OF FUNDING. RENEWAL APPLICATIONS ARE THEN REVIEWED BY OUR RESEARCH COMMITTEE CHAIRS FOR APPROVAL OF SECOND YEAR FUNDING. AT THE TIME OF TERMINATION (AFTER THE SECOND YEAR OF FUNDING), AWARD RECIPIENTS ARE REQUIRED TO SUBMIT A SUMMARY OF THEIR ACTIVITIES, COPIES OF PRESENTATIONS AND/OR PUBLICATIONS, AND A CASH DISBURSEMENT REPORT FOR THE ENTIRE GRANT TIME.

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13-1632524

Schedule I (Form 990) (2015)

AMERICAN LUNG ASSOCIATION

### **SCHEDULE J** (Form 990)

Department of the Treasury Internal Revenue Service

# **Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

AMERICAN LUNG ASSOCIATION

**Questions Regarding Compensation** 

► Attach to Form 990.

OMB No. 1545-0047

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Name of the organization

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

**Employer identification number** 

13-1632524

			Yes	No
<b>1</b> a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel Housing allowance or residence for personal use			ĺ
	Travel for companions Payments for business use of personal residence			ĺ
	Tax indemnification and gross-up payments  Health or social club dues or initiation fees			ĺ
	Discretionary spending account Personal services (e.g., maid, chauffeur, chef)			ĺ
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			ĺ
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's			ĺ
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	x Compensation committee Written employment contract			ĺ
	x Independent compensation consultant x Compensation survey or study			
	x Form 990 of other organizations x Approval by the board or compensation committee			ĺ
				ĺ
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing			ĺ
	organization or a related organization:			ĺ
а	Receive a severance payment or change-of-control payment?	4a		х
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		Х
	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		Х
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			ĺ
	contingent on the revenues of:			ĺ
а	The organization?	5a		Х
	Any related organization?	5b		Х
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			ĺ
	contingent on the net earnings of:			ĺ
а	The organization?	6a		Х
	Any related organization?	6b		Х
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments			
	not described on lines 5 and 6? If "Yes," describe in Part III	7		Х
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			
	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		Х
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53.4958-6(c)?	9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015

# Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of	W-2 and/or 1099-MI	SC compensation	(C) Retirement and other deferred	(D) Nontaxable benefits	(E) Total of columns	(F) Compensation in column (B)	
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation	Denents	(B)(i)-(D)	reported as deferred on prior Form 990	
(1) HAROLD WIMMER	(i)	376,788.	25,000.	0.	39,756.	25,064.	466,608.	0.	
PRESIDENT & CEO	(ii)	0.	0.	0.	0.	0.	0.	0.	
(2) LAURA SCOTT	(i)	197,157.	20,000.	0.	15,024.	1,627.	233,808.	0.	
CHIEF FINANCIAL OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.	
(3) PAUL BILLINGS	(i)	190,259.	3,000.	0.	19,409.	1,715.	214,383.	0.	
VP NATIONAL POLICY & ADVOC	(ii)	0.	0.	0.	0.	0.	0.	0.	
(4) SUSAN RAPPAPORT	(i)	174,264.	5,000.	0.	19,300.	27,355.	225,919.	0.	
VP RESEARCH & PROGRAM	(ii)	0.	0.	0.	0.	0.	0.	0.	
(5) SUSAN SWAN	(i)	189,038.	3,000.	0.	10,734.	9,591.	212,363.	0.	
CHIEF DEVELOPMENT OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.	
(6) ALANA BURNS	(i)	174,040.	20,000.	0.	13,411.	1,253.	208,704.	0.	
VP SIGNATURE CAUSE CAMPAIG	(ii)	0.	0.	0.	0.	0.	0.	0.	
(7) RUSSELL BURWELL	(i)	152,375.	3,000.	0.	15,824.	9,890.	181,089.	0.	
VP GOVERNANCE	(ii)	0.	0.	0.	0.	0.	0.	0.	
(8) CRAIG FINSTEAD	(i)	137,576.	0.	0.	13,982.	9,463.	161,021.	0.	
AVP DIREC RESPONSE OPERATION	(ii)	0.	0.	0.	0.	0.	. 0.	0.	
(9) SALLY DRAPER	(i)	165,558.	2,500.	0.	11,704.	9,471.	189,233.	0.	
VP DEVELOPMENT	(ii)	0.	0.	0.	0.	0.	0.	0.	
	(i)								
	(ii)								
	(i)								
	(ii)								
	(i)								
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	(i)								
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	(i)								
	(ii)								

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Schedule J (Form 990) 2015	AMERICAN LUNG ASSOCIATION	13-1632524	Page 3
Part III Supplemental Information			
	n, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and f	for Part II. Also complete this part for any additional information.	
, 1		. ,	
	_		
	_		

#### **SCHEDULE O**

(Form 990 or 990-EZ)

Name of the organization

Supplemental Information to Form 990 or 990-EZ Complete to provide information for responses to specific questions on

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

2015
Open to Public Inspection

Department of the Treasury Internal Revenue Service

Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

AMERICAN LUNG ASSOCIATION

Open to Public Inspection

Employer identification number

13-1632524

ATTACHMENT 1: FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION
THE AMERICAN LUNG ASSOCIATION'S MISSION IS TO SAVE LIVES BY IMPROVING
LUNG HEALTH AND PREVENTING LUNG DISEASE, WITH THE ULTIMATE VISION OF A
WORLD FREE OF LUNG DISEASE. THE NATIONAL HEADQUARTERS OF THE AMERICAN
LUNG ASSOCIATION FIGHTS LUNG DISEASE THROUGH ITS OWN ACTIVITIES AND BY
SERVICING SUPPORTING AND LEADING ITS LOCAL LUNG ASSOCIATIONS. AMONG
ITS VARIED RESPONSIBILITIES. THE NATIONAL HEADQUARTERS:
- FUNDS RESEARCH INTO THE CAUSES, PREVENTION AND CURES OF LUNG DISEASE.
- ADVOCATES FOR POLICIES THAT PROTECT LUNG HEALTH INCLUDING FIGHTING
FOR HEALTHY AIR.
- PROVIDES A BROAD ARRAY OF HEALTH EDUCATIONAL PROGRAMS AND SERVICES TO
SUPPORT SMOKING CESSATION, HELP PREVENT LUNG DISEASE AND TO ASSIST
PEOPLE WITH LUNG DISEASE IN BETTER MANAGING THEIR CONDITION.
- SUPPORTS LOCAL LUNG ASSOCIATIONS' IMPLEMENTATION OF HEALTH
EDUCATIONAL PROGRAMS AND DISSEMINATION OF EDUCATIONAL MATERIAL.
- PROVIDES AN ARRAY OF LEARNING OPPORTUNITIES AND TOOLS TO DEVELOP
NATIONWIDE VOLUNTEER AND STAFF LEADERS.
- PROVIDES EPIDEMIOLOGICAL DATA, MEDICAL AND SCIENTIFIC ADVICE AND
COUNSEL TO THE PUBLIC AND LUNG ASSOCIATIONS.
DROWING MUE DUDITG WIMI MUE LAMEGE INCOMMETON ON LUNG DIGINGE AND
- PROVIDES THE PUBLIC WITH THE LATEST INFORMATION ON LUNG DISEASE, AND
NATIONAL AND LOCAL LUNG ASSOCIATION ACTIVITIES THROUGH THE AMERICAN
NATIONAL AND LOCAL LUNG ASSOCIATION ACTIVITIES THROUGH THE AMERICAN  LUNG ASSOCIATION WEBSITE, WWW.LUNG.ORG.
NATIONAL AND LOCAL LUNG ASSOCIATION ACTIVITIES THROUGH THE AMERICAN

THE PROGRAM SERVICES OF THE AMERICAN LUNG ASSOCIATION NATIONAL

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. 532211 09-02-15

Schedule O (Form 990 or 990-EZ) (2015)

MOST.

LAST YEAR WE CONTINUED TO EXPAND OUR SUITE OF EDUCATION AND SUPPORT

THE ANTHEM FOUNDATION TO BRING BETTER ACCESS TO PROVEN QUIT-SMOKING

PROGRAMS SUCH AS FREEDOM FROM SMOKING TO POPULATIONS THAT NEED IT

TOOLS FOR PATIENTS WITH LUNG DISEASE AND THEIR CAREGIVERS. TO HELP EASE

THE BURDEN OF LUNG CANCER, WE ADDED A NEW DIGITAL LUNG CANCER ACTION

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Name of the organization  AMERICAN LUNG ASSOCIATION	Employer identification number 13-1632524						
GUIDE THAT ALLOWS VISITORS TO SELECT WHERE THEY ARE IN THEIR LUNG							
CANCER JOURNEY AND BE CONNECTED WITH TARGETED LUNG ASSOCIATION							
RESOURCES. OUR NEW RESOURCES ON LUNG CANCER IMMUNOTHERAPY INCLUDE AN							
INTERACTIVE INFOGRAPHIC AND BROCHURE TO LEARN HOW LUNG CANCER	_						
IMMUNOTHERAPY WORKS, WHO IS ELIGIBLE, POSSIBLE SIDE EFFECTS AND							
QUESTIONS FOR YOUR DOCTOR.							
WITH SUPPORT FROM THE U.S. CENTERS FOR DISEASE CONTROL AND PREVENTION,							
WE DEVELOPED A NUMBER OF TOOLS TO ENSURE THAT THE 5.4M STUDENTS WITH							
ASTHMA HAVE ACCESS TO THEIR MEDICATION IN SCHOOL. THESE INCLUDE AN							
INTERACTIVE LEARNING MODULE, A MODEL POLICY FOR SCHOOL DISTRICTS ON							
STOCK BRONCHODILATORS, AND A STUDENT READINESS TOOL (TO DETERMINE IF							
THEY ARE READY TO CARRY THEIR OWN QUICK-RELIEF INHALER). IN ADDITION,							
OUR ASTHMA BASICS, NOW AVAILABLE IN SPANISH, IS A FREE ONLINE COURSE							
THAT OFFERS AN OVERVIEW OF ASTHMA MANAGEMENT THAT IS HELPFUL FOR							
TEACHERS, COACHES, SCHOOL NURSES AND EVEN PARENTS.							
FOR AMERICA'S 11M COPD PATIENTS, WE CREATED A SERIES OF INSTRUCTIONAL							
VIDEOS, AVAILABLE IN ENGLISH AND SPANISH, INCLUDING HOW TO PROPERLY USE							
A NEBULIZER, HOW TO PROPERLY CLEAN A NEBULIZER, PURSED LIP BREATHING							
AND BELLY BREATHING. WORKING IN PARTNERSHIP WITH PFIZER, WE LAUNCHED							
WHO PNEU?TM, A PUBLIC AWARENESS CAMPAIGN TO SHARE THE SERIOUSNESS OF							
PNEUMOCOCCAL PNEUMONIA AND THE IMPORTANCE OF ADULT VACCINATIONS FOR							
PREVENTABLE DISEASES.							
FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:							
AWARDS, TOTALING IN \$6.49M IN FUNDING. OUR RESEARCH TEAM IS ENGAGED IN							
A WIDE RANGE OF STUDIES EXPLORING AN ARRAY OF LUNG HEALTH ISSUES,	_						
INCLUDING LUNG CANCER, ASTHMA, COPD, TUBERCULOSIS, INFLUENZA AND MANY							
MORE.							

Name of the organization  AMERICAN LUNG ASSOCIATION	Employer identification number 13-1632524						
OUR ACRC IS THE NATION'S LARGEST NOT-FOR-PROFIT NETWORK OF CLINICAL							
RESEARCH CENTERS DEDICATED TO ASTHMA AND CHRONIC OBSTRUCTIVE PULMONARY							
DISEASE (COPD) TREATMENT RESEARCH. IN THE PAST YEAR, THE ACRC NETWORK							
ENHANCED ITS ABILITY TO IMPROVE THE LIVES OF PEOPLE WITH ASTHMA AND							
COPD BY ADDING NEW CENTERS AND NEW EXPERTS. FIVE NEW CENTERS HAVE BEEN							
ADDED TO THE NETWORK, AND ALL RETURNING CENTERS UNDERWENT A COMPETITIVE							
PROCESS TO CONTINUE AS PART OF THE NETWORK-RESULTING IN A STRONGER MORE							
EFFECTIVE NETWORK ACROSS THE BOARD. THE ACRC NETWORK NOW CONSISTS OF 17							
AIRWAYS CLINICAL RESEARCH CENTERS, WITH 24 TRIAL SITES, ONE DATA							
COORDINATING CENTER AND 100+ ASTHMA AND COPD SPECIALISTS.							
DATA FROM AN ACRC STUDY HAS HELPED US BETTER UNDERSTAND OVERUSE OF THE							
ASTHMA MEDICATION ALBUTEROL, AND WAS PUBLISHED IN THE PRESTIGIOUS							
JOURNAL OF ALLERGY AND CLINICAL IMMUNOLOGY. THIS NEW DATA COULD HELP							
REDUCE POOR OUTCOMES IN ASTHMA PATIENTS, INCLUDING THE RISK OF CLINICAL							
DEPRESSION.							
IN MAY, RESULTS OF THE ACRC LONG-ACTING BETA AGONIST STEP-DOWN STUDY							
(LASST) ON HOW TO REDUCE TREATMENT IN WELL-CONTROLLED ASTHMATIC							
PATIENTS WERE PRESENTED AT THE NATIONAL MEETING OF THE AMERICAN	_						
THORACIC SOCIETY. THE RESULTS OF THIS STUDY WILL HAVE A SIGNIFICANT							
IMPACT ON ASTHMA PATIENT CARE.	_						
THROUGH OUR LUNG FORCE INITIATIVE, WE ALSO LAUNCHED A NEW AWARD							
TARGETING LUNG CANCER, THE LUNG CANCER IN WOMEN RESEARCH AWARD (\$400K							
OVER 3 YEARS).							
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:							
ONE-ON-ONE COACHING AND CONSULTATION BY NATIONAL OFFICE STAFF IS							
OFFERED AS NEEDED OR REQUESTED. INDIVIDUAL DISCIPLINE GROUPS (E.G.,							
CHIEF EXECUTIVE OFFICERS, CHIEF FINANCIAL OFFICERS, CHIEF DEVELOPMENT							

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Name of the organization  AMERICAN LUNG ASSOCIATION	Employer identification number 13-1632524
OFFICERS, PROGRAM MANAGERS, ETC.) MEET REGULARLY WITH NATIONAL OFFICE	
PEERS TO SHARE IDEAS, PROBLEM-SOLVE, AND NETWORK.	
THE AMERICAN LUNG ASSOCIATION CONDUCTS A NATIONWIDE VOLUNTEER AND STAFF	
RECOGNITION PROGRAM. VOLUNTEERS AND STAFF ARE RECOGNIZED ON AN ONGOING	
BASIS FOR OUTSTANDING PERFORMANCE. AN ANNUAL RECOGNITION CEREMONY IS	
HELD AT A MEETING OF THE AMERICAN LUNG ASSOCIATION BOARD OF DIRECTORS	
AND CHAIRS ASSEMBLY WHERE AWARDS FOR HIGHEST ACHIEVEMENT ARE GIVEN IN	
SPECIFIC CATEGORIES.	
THE AMERICAN LUNG ASSOCIATION BOARD OF DIRECTORS PROVIDES STRATEGIC	
DIRECTION FOR THE NATIONAL OFFICE AND ITS CHARTERED ASSOCIATIONS.	
COORDINATION AND MONITORING OF NATIONAL AND CHARTERED ASSOCIATION	
STRATEGIC ALIGNMENT IS PROVIDED THROUGH A BOARD-APPROVED METRIC-BASED	
PERFORMANCE MANAGEMENT SYSTEM. THE AMERICAN LUNG ASSOCIATION BOARD OF	
DIRECTORS IS RESPONSIBLE FOR OVERSIGHT OF CHARTERED ASSOCIATION	
COMPLIANCE TO POLICIES AND PERFORMANCE STANDARDS. ASSISTANCE IS	
PROVIDED BY THE NATIONAL OFFICE TO THOSE CHARTERED ASSOCIATIONS THAT DO	
NOT MEET REQUIREMENTS AND/OR STANDARDS.	
A VARIETY OF NATIONAL OFFICE STAFF PROVIDE SPECIAL EVENTS SUPPORT TO	
THE LUNG ASSOCIATION CHARTERED ASSOCIATIONS. THIS SUPPORT IS DESIGNED	
TO ASSIST IN THE DEVELOPMENT, MARKETING AND IMPLEMENTATION OF EVENTS	
AND PROMOTIONS STRATEGIES. AMONG THE ASSISTANCE PROVIDED ARE PLANNING	
(GOAL SETTING, STRATEGIC REVIEW, DEVELOPMENT OF TEMPLATE MATERIAL,	
FEASIBILITY STUDIES); TRAINING (MONTHLY CONFERENCE CALLS, BEST PRACTICE	
STAFF TRAINING, LISTSERV BASED MATERIALS, STAFF TRAINING WEBINARS,	
ETC.); IMPLEMENTATION (SPONSORSHIP CALLS, RECRUITMENT, EVENT	
ATTENDANCE/PARTICIPATION); COACHING (STAFF, LEADERSHIP, VOLUNTEERS);	
COORDINATION OF BRANDED MARKETING COLLATERAL; SOLICITATION OF NATIONAL	
SPONSORS/TEAMS; BUILDING FACILITATING AND INTEGRATING E-COMMERCE	

AMERICAN LUNG ASSOCIATION	13-1632524
PLATFORMS; AND EVALUATION OF NEW EVENTS. THE PRIMARY FOCUS IS TO	
INCREASE THE CHARTERED ASSOCIATIONS NET REVENUE AND FULLY INTEGRATE	
BEST PRACTICE STRATEGIES INTO THE OVERALL WORK PLAN.	
THE DIRECT RESPONSE TEAM DEVELOPS AND IMPLEMENTS CAMPAIGN PLANS FOR ALL	
DIRECT MAIL APPEALS, TELEMARKETING CAMPAIGNS AND THE RESIDENTIAL	
PROGRAM IN CONJUNCTION WITH OUR DIRECT RESPONSE CONSULTING AGENCY. ALL	
FUNDS GENERATED ARE DEPOSITED DIRECTLY INTO LOCAL ASSOCIATION ACCOUNTS	
ON A REGULAR (WEEKLY OR BI-WEEKLY) BASIS WITH DETAILED REPORTS BY	
CAMPAIGN FOR THE CURRENT PERIOD AND YEAR-TO-DATE, LOCAL ASSOCIATIONS	
ARE BILLED MONTHLY FOR DIRECT RESPONSE EXPENSES AND QUARTERLY FOR A 30	
PERCENT SHARE OF THE NET INCOME GENERATED BY THE PROGRAMS. ASSOCIATIONS	
ARE PROVIDED WITH A BUDGET FOR EACH PROGRAM ALONG WITH CASH FLOW AND	
BILLING SCHEDULES, AS WELL AS QUARTERLY UPDATES ON ACTUAL PERFORMANCE.	
BILLED EXPENSES ARE RECONCILED TO ACTUAL COSTS AT THE END OF THE FISCAL	
YEAR. IMAGES OF ALL ROLLOUT MAILING PACKAGES ARE AVAILABLE FOR	
REFERENCE BY CHARTERED ASSOCIATIONS. DIRECT RESPONSE CALLS OR MEETINGS	
ARE CONDUCTED TO WHICH ALL LOCAL CEOS ARE INVITED TO PARTICIPATE FOR	
UPDATES ON THE PROGRAM AND ANY ISSUES OR QUESTIONS THAT ARISE.	
FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:	
ADVOCACY AND ENVIRONMENTAL:	
IN FY 2016 WE MADE SIGNIFICANT HEADWAY IN OUR EFFORTS TO ADVOCATE FOR	
HEALTHY LUNGS AND HEALTHY AIR. EVERY YEAR, WE SUPPORT LEGISLATION AND	
POLICIES THAT PROTECT OUR CHILDREN FROM DEADLY AIR POLLUTION AND	
TOBACCO PRODUCTS, SUPPORT FUNDING FOR CRITICAL LUNG DISEASE RESEARCH	
AND HEALTH PROGRAMS AT THE FEDERAL LEVEL, AND MUCH MORE.	
THIS PAST YEAR OUR WORK HELPED LEAD TO THE U.S. ENVIRONMENTAL	

Name of the organization  AMERICAN LUNG ASSOCIATION	Employer identification number 13-1632524
PROTECTION AGENCY (EPA) PASSING THE MOST PROTECTIVE OZONE STANDARD IN	
HISTORY, WE LED THE WORK THAT CREATED THE FIRST NATIONAL RADON ACTION	
PLAN TO FIGHT THE SECOND LEADING CAUSE OF LUNG CANCER-EXPOSURE TO RADON	
GAS. OUR ADVOCACY ALSO HELPED PUSH THE EPA TO CREATE THE FIRST-EVER	
LIMITS TO PREVENT EMISSIONS OF METHANE AND OTHER TOXIC POLLUTION FROM	
NEW AND MODIFIED OIL AND GAS FACILITIES. ON AUGUST 3, 2015, WE WERE	
HONORED TO JOIN PRESIDENT OBAMA AT THE WHITE HOUSE AS HE ANNOUNCED THE	
FINAL CLEAN POWER PLAN-A PLAN WE PUSHED FOR TO REDUCE CARBON POLLUTION	_
FROM POWER PLANTS.	_
IN NOVEMBER 2015, THE DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT	
ANNOUNCED A NEW PROPOSED RULE THAT WOULD MAKE ALL FEDERALLY OWNED	
PUBLIC HOUSING SMOKEFREE. WE ADVOCATED FOR MORE THAN SEVEN YEARS FOR	
THIS RULE, WHICH WILL PROTECT 2M AMERICANS FROM EXPOSURE TO SECONDHAND	
SMOKE IN THEIR HOMES.	
THIS PAST YEAR, WE ADVOCATED FOR AND HELPED SECURE A \$362M INVESTMENT	
IN NATIONAL INSTITUTES OF HEALTH LUNG CANCER RESEARCH FUNDING IN 2016.	
OUR INNOVATIVE MEDICAID TOBACCO CESSATION INITIATIVE IS HELPING TO	
ENSURE THAT THIS VULNERABLE POPULATION GETS THE HELP THEY NEED TO QUIT	
SMOKING, OUR WORK ALSO HELPED SPUR HAWAII, CALIFORNIA AND CITIES ACROSS	
THE COUNTRY TO RAISE THEIR LEGAL TOBACCO SALES AGE TO 21-A PROVEN WAY	
TO REDUCE YOUTH SMOKING AND SAVE LIVES.	
OUR 14TH ANNUAL "STATE OF TOBACCO CONTROL" REPORT RELEASED IN JANUARY	
2016, FOUND THAT STATES AND THE FEDERAL GOVERNMENT ARE NOT DOING ENOUGH	
TO ELIMINATE TOBACCO-CAUSED DEATH AND DISEASE AND THAT 1 IN 4 KIDS	
STILL USE TOBACCO PRODUCTS. OUR 17TH ANNUAL "STATE OF THE AIR" REPORT	
SHOWED THAT MORE THAN HALF OF AMERICANS LIVE WITH UNHEALTHY LEVELS OF	
AIR POLLUTION, PUTTING 166M AMERICANS AT RISK FROM UNHEALTHY AIR.	
IN MAY 2016. THE FOOD AND DRUG ADMINISTRATION ISSUED ITS LONG-AWAITED	

AMERICAN LUNG ASSOCIATION	13-1632524
FINAL RULE GIVING THE AGENCY REGULATORY AUTHORITY OVER ALL TOBACCO	
PRODUCTS, INCLUDING E-CIGARETTES, CIGARS, HOOKAH AND OTHER TOBACCO	
PRODUCTS. THIS WAS A MAJOR PUBLIC HEALTH VICTORY THAT THE LUNG	
ASSOCIATION WORKED FOR SINCE PRESIDENT OBAMA SIGNED THE TOBACCO CONTROL	
ACT IN 2009.	
IN JUNE, OUR ASTHMA GUIDELINES-BASED CARE INITIATIVE POLICY PROJECT	
ASSESSED STATE MEDICAID COVERAGE FOR GUIDELINES-BASED ASTHMA CARE IN	
THE 23 STATES FUNDED BY THE CDC NATIONAL ASTHMA CONTROL PROGRAM.	
THE AMERICAN LUNG ASSOCIATION WORKS TO DEFEND THE CLEAN AIR ACT AND THE	
FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT, SUPPORTS LEGISLATION	_
THAT PROTECTS OUR CHILDREN FROM DEADLY AIR POLLUTION AND TOBACCO	_
PRODUCTS, AND SUPPORTS FUNDING FOR CRITICAL LUNG DISEASE RESEARCH AND	
HEALTH PROGRAMS AT THE FEDERAL LEVEL-AND MUCH MORE.	
THIS PAST YEAR, WE ADVOCATED FOR THE EPA TO ADOPT A STRONG FINAL CLEAN	
POWER PLAN TO REDUCE CARBON POLLUTION FROM NEW AND EXISTING POWER	
PLANTS. TO HIGHLIGHT THE HEALTH IMPACTS OF CLIMATE CHANGE, WE HOSTED	
EPA ADMINISTRATOR GINA MCCARTHY AT A ROUNDTABLE IN CHICAGO THIS APRIL	
AND PARTICIPATED IN THE WHITE HOUSE SUMMIT ON CLIMATE CHANGE AND HEALTH	
IN JUNE IN WASHINGTON, DC.	
THANKS IN PART TO LUNG ASSOCIATION LEGAL ACTION, THE EPA PROPOSED TO	
STRENGTHEN THE NATIONAL STANDARD FOR OZONE POLLUTION. FOLLOWING THE	
PROPOSAL, THE LUNG ASSOCIATION HELPED ORGANIZE MORE THAN 30 HEALTH	
PROFESSIONALS AND VOLUNTEERS TO TESTIFY AT EPA PUBLIC HEARINGS IN	
TEXAS, CALIFORNIA, AND WASHINGTON, DC. WE ALSO, ALONG WITH 12 OTHER	
NATIONAL HEALTH ORGANIZATIONS SUBMITTED COMMENTS TO EPA AND HELPED	

EXPENSES \$ 2,896,335. INCL GRANTS OF \$ 710,096. REVENUE \$ 2,600,076.

FORM 990, PART VI, SECTION A, LINE 1:

EXECUTIVE COMMITTEE:

THE EXECUTIVE COMMITTEE SHALL ACT IN PLACE OF AND WITH THE FULL AUTHORITY

OF THE BOARD OF DIRECTORS WHEN THE BOARD OF DIRECTORS IS NOT IN SESSION

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Name of the organization	Employer identification number
AMERICAN LUNG ASSOCIATION	13-1632524
SUBJECT TO THE BOARD'S POWER TO AMEND OR CHANGE THOSE ACTIONS WHICH HAVE	
NOT BEEN IMPLEMENTED PRIOR TO THE BOARD MEETING OR MEETINGS FOLLOWING THE	
EXECUTIVE MEETING AT WHICH SUCH ACTION WAS TAKEN. THE BOARD OF DIRECTORS	
HAS THE POWER TO AUTHORIZE AND DELEGATE TO THE EXECUTIVE COMMITTEE TO THE	
EXTENT PERMITTED BY THE ASSOCIATION'S BYLAWS AND APPLICABLE LAW.	
THE EXECUTIVE COMMITTEE SHALL NOT HAVE THE AUTHORITY TO APPOINT OFFICERS	
EXCEPT ON AN INTERIM BASIS TO FILL A VACANCY, ENTER INTO OR AMEND CONTRACTS	
WITH OFFICERS, AMEND THE POLICIES MANUAL, OR BORROW MONEY IN EXCESS OF THE	
AMOUNTS EXPRESSLY AUTHORIZED BY THE BOARD. THE EXECUTIVE COMMITTEE SHALL	
HAVE NO AUTHORITY TO AMEND THE ARTICLES OF INCORPORATION, ADOPT A PLAN OF	
MERGER OR CONSOLIDATION, AUTHORIZE THE SALE OR OTHER DISPOSITION OF ALL OR	
SUBSTANTAILLY ALL OF THE PROPERTY AND ASSETS OF THE ASSOCIATION, AUTHORIZE	
THE VOLUNTARY DISSOLUTION OF THE ASSOCIATION OR REVOCATION OF SUCH	
DISSOLUTION, OR AMEND THE BYLAWS OF THE ASSOCIATION.	
THE EXECUTIVE COMMITTEE MAY ESTABLISH A LEADERSHIP SUBCOMMITTEE CONSISTING	
OF THE CHAIR, VICE-CHAIR, AND PAST-CHAIR, WHICH SHALL SERVE AS THE	_
EXECUTIVE COMMITTEE'S LIAISON TO THE PRESIDENT AND CEO.	
FORM 990, PART VI, SECTION A, LINE 1:	
THE GOVERNANCE COMMITTEE, TAKING INTO ACCOUNT GEOGRAPHY, EXPERTISE, RACE,	
ETHNICITY, GENDER, AGE AND OTHER DIVERSITY FACTORS, SHALL PRESENT ANNUALLY	
TO THE BOARD OF DIRECTORS ITS RECOMMENDED NOMINEES FOR MEMBERS OF THE BOARD	
OF DIRECTORS, MEMBERS OF THE GOVERNANCE COMMITTEE AND OFFICERS (OTHER THAN	
THE PRESIDENT AND CHIEF EXECUTIVE OFFICER) OF THE ASSOCIATION (INCLUDING A	
RECOMMENDATION WHERE APPROPRIATE, FOR THE DESIGNATION OF THE VICE-CHAIR AS	
CHAIR-ELECT). OTHER NOMINATIONS MAY NOT BE MADE AT THE MEETING OF THE BOARD	

AMERICAN LUNG ASSOCIATION	13-1632524
OF DIRECTORS FROM THE FLOOR.	
FORM 990, PART VI, SECTION B, LINE 11:	
AMERICAN LUNG ASSOCIATION HAS ESTABLISHED THE FOLLOWING REVIEW PROCESS TO	
ENSURE THAT THE INFORMATION REPORTED IS COMPLETE AND ACCURATE, FORM 990 IS	
PREPARED BY AN OUTSIDE ACCOUNTING FIRM AND REVIEWED BY THE CFO. PRIOR TO	
ELECTRONIC SUBMISSION, IT IS REVIEWED BY THE ORGANIZATION'S DELEGATED	
RESPONSIBLE BODY, THE AUDIT AND RISK OVERSIGHT COMMITTEE, FOR APPROVAL.	
AFTER APPROVAL BY THE AROC COMMITTEE, THE MEMBERS OF THE GOVERNING BODY	
REVIEW THE FORM PRIOR TO SUBMISSION. ALL COMMENTS ARE DOCUMENTED, ADDRESSED	
AND FINALIZED BEFORE SUBMISSION.	
FORM 990, PART VI, SECTION B, LINE 12C:	
ALA CURRENTLY HAS IN PLACE A CONFLICT OF INTEREST POLICY WHICH IT MONITORS	
AND ENFORCES ANNUALLY AND HAS A STANDING GOVERNANCE COMMITTEE THAT OVERSEES	
ITS EXECUTION. THE ORGANIZATION CURRENTLY MANDATES THAT ALL MEMBERS OF THE	
GOVERNING BODY, COMMITTEE MEMBERS AND ALL STAFF ANNUALLY SIGN A CONFLICT OF	
INTEREST POLICY AND DISCLOSE ANY POTENTIAL OR ACTUAL CONFLICTS THAT MAY	
EXIST. THE SIGNED CONFLICT OF INTEREST POLICY STATEMENTS ARE SUBMITTED TO	
THE GOVERNANCE COMMITTEE. THESE STATEMENTS ARE REVIEWED FOR POTENTIAL OR	
ACTUAL CONFLICTS.	
FORM 990, PART VI, SECTION B. LINE 15:	
THE AMERICAN LUNG ASSOCIATION HAS ESTABLISHED A COMPENSATION POLICY FOR ITS	
LEADERSHIP COMMITTEE TO FOLLOW IN ESTABLISHING THE COMPENSATION FOR ITS	
CEO, TOP MANAGEMENT OFFICAL, OTHER OFFICERS OR KEY EMPLOYEES. THE POLICY	
MANDATES THAT EXECUTIVE COMPENSATION BE PERIODICALLY REVIEWED BY THE	
COMMITTEE AND THAT THE COMMITTEE SHOULD BE FREE OF CONFLICTS OF INTEREST.	

Name of the organization	Employer identification number
AMERICAN LUNG ASSOCIATION	13-1632524
IN ADDITION, THE APPROVING COMMITTEE NEEDS TO REVIEW APPROPRIATE AND	
ADEQUATE DATA TO DETERMINE THE REASONABLENESS OF THE COMPENSATION BEING	
CONSDERED. THE COMMITTEE MAY USE A VARIETY OF INFORMATION AND STUDIES THAT	
ARE AVAILABLE TO DETERMINE THAT THE APPROPRIATE LEVEL OF COMPENSATION IS	
BEING PAID TO ITS EXECUTIVES.	
THE COMMITTEE'S DECISION ON THE AMOUNT OF COMPENSATION PAID IS DOCUMENTED	
IN A CONTEMPORANEOUSLY WRITTEN FORMAT AND DOCUMENTS THE DATE OF THE	
DECISION, THE MEMBERS PRESENT DURING THE MEETING AND THOSE WHO VOTED ON IT,	
THE DETAILS OF THE TRANSACTION THAT WAS APPROVED AND THE COMPARABILITY DATA	
USED AND RELIED UPON TO MAKE THE DECISION. ALA DID A COMPENSATION REVIEW	
FOR THE CEO WHEN HE WAS HIRED IN JANUARY 2013. THE MOST RECENT COMPENSATION	
REVIEW PROCESS FOR ALL OTHER OFFICERS AND KEY EMPLOYEES WAS DONE IN	
DECEMBER 2010.	
FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:	
AL, AK, AZ, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NY, NC	
ND,OH,OK,OR,PA,RI,SC,TN,VA,WA,WV,WI	
FORM 990, PART VI, SECTION C, LINE 19:	
THE THREE MOST RECENT YEARS OF FORM 990 AND ANNUAL REPORTS ARE AVAILABLE ON	
AMERICAN LUNG ASSOCIATION'S WEBSITE, WWW.LUNG.ORG. GOVERNING DOCUMENTS AND	
CONFLICT OF INTEREST POLICY ARE AVAILABLE TO THE PUBLIC UPON REQUEST. OUR	
WEBSITE ALSO PROVIDES THE NAMES OF OUR BOARD OF DIRECTORS AND OUR ETHICS	
POLICY.	
FORM 990, PART VIII, LINE 2	

REIMBURSEMENT FROM CHARTER ASSOCIATION: 532212 09-02-15

Name of the organization  AMERICAN LUNG ASSOCIATION	Employer identification number 13-1632524
TATALICIAN BONG TABBEETTITON	13 1032324
AT JUNE 30, 2015, THERE WERE EIGHT CHARTERED ORGANIZATIONS THAT HAVE	
JURISDICTION OVER SPECIFIC GEOGRAPHICAL AREAS, EACH CHARTERED	
ASSOCIATION IS REQUIRED TO REMIT A MONTHLY BUNDLED BILLING AMOUNT,	
WHICH INCLUDES A FEE FOR SOME SERVICES OR CONTRACTS HELD BY NATIONAL	
OFFICE. PART OF THESE FEES PERTAIN TO NATIONAL OFFICE DIRECT MARKETING	
AND THE ROI DATA PROGRAM WHICH PROVIDES INFORMATION ON DONORS AND	
FUNDRAISING EVENTS CONDUCTED BY AND FOR THE BENEFIT OF CHARTERED	
ASSOCIATIONS. DONATIONS RESULTING FROM THE DIRECT MAIL CAMPAIGN AND	
REVENUE RAISED BY THE DIRECT MARKETING PROGRAM ARE REMITTED TO THE	
CHARTERED ASSOCIATIONS BASED ON THE ZIP CODE OF THE DONOR. THE	
CHARTERED ASSOCIATIONS REIMBURSE NATIONAL OFFICE FOR COSTS REQUIRED TO	
OPERATE THIS PROGRAM. THESE REIMBURSEMENTS ARE SHOWN AS PROGRAM	
REIMBURSEMENT REVENUE FROM CHARTERED ASSOCIATIONS ON THE ACCOMPANYING	
STATEMENTS OF ACTIVITIES. THIS REVENUE IS RECOGNIZED AS EXPENSES ARE	
INCURRED. FOR THE YEARS ENDING JUNE 30, 2015 AND 2014, PROGRAM	
REIMBURSEMENTS APPROXIMATED \$22,300,000 AND \$21,100,000, RESPECTIVELY.	
EACH CHARTERED ASSOCIATION IS ALSO REQUIRED TO REMIT A MONTHLY	
ASSESSMENT, WHICH NATIONAL OFFICE USES IN A VARIETY OF WAYS INCLUDING,	
BUT NOT LIMITED TO, PROVIDING NATIONAL LEADERSHIP, ASSISTANCE AND	
GUIDANCE IN THE AREAS OF FIELD PROGRAM DEVELOPMENT, FIELD FUNDRAISING	
AND FIELD MANAGEMENT ADVISORY AND OTHER ACTIVITIES. THIS REVENUE IS	
RECOGNIZED OVER THE ASSESSMENT PERIOD. FOR THE YEARS ENDED JUNE 30	
2015 AND 2014, CHARTERED ASSOCIATIONS' ASSESSMENTS REVENUE APPROXIMATED	
\$4,800,000 AND \$4,700,000 RESPECTIVELY.	
42,000,000 and 42,700,000 and	

ADDITIONALLY PER NATIONAL OFFICE'S AGREEMENT WITH EACH CHARTERED

Name of the organization  AMERICAN LUNG ASSOCIATION		Employer identification number
ASSOCIATION, NATIONAL OFFICE RECEIVES 30% OF DIRECT RESPO	NSE REVENUES,	
LESS DIRECT RESPONSE EXPENSES AND 13% OF ALL UNRESTRICTED	BEQUESTS IN	
THOSE INSTANCES WHEN THE DONOR DIED PRIOR TO JULY 1, 2009	. THIS REVENUE	
IS RECOGNIZED IN THE PERIOD WHEN CONTRIBUTIONS ARE COLLEC	TED. FOR THE	
YEARS ENDED JUNE 30, 2015 AND 2014, THE ALLOCABLE SHARE O	F DIRECT	
RESPONSE ACTIVITIES AND BEQUEST SHARE REVENUE FROM CHARTE	RED	
ASSOCIATIONS APPROXIMATED \$2,200,000 AND \$2,300,000 RESPE	CTIVELY.	
FORM 990, PART IX, LINE 11G, OTHER FEES:		
OTHER:		
PROGRAM SERVICE EXPENSES	669,176.	
MANAGEMENT AND GENERAL EXPENSES	41,752.	
FUNDRAISING EXPENSES	29,020.	
TOTAL EXPENSES	739,948.	
PROGRAM CONSULTING:		
PROGRAM SERVICE EXPENSES	4,411,308.	
MANAGEMENT AND GENERAL EXPENSES	73,144.	
FUNDRAISING EXPENSES	16,386.	
TOTAL EXPENSES	4,500,838.	
TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A	5,240,786.	
FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:		
CHANGE IN FAIR VALUE OF BENEFICIAL INTEREST IN TRUSTS	-118,641.	
CHANGE IN VALUE OF SPLIT-INTEREST AGREEMENTS	7,098.	
BENEFIT RELATED CHANGES	-1,238,346.	
TOTAL TO FORM 990, PART XI, LINE 9	-1,349,889.	
532212 09-02-15	6.6	Schedule O (Form 990 or 990-EZ) (2015

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• If you Note. Or	are filing for an Additional (Not Automally complete Part II if you have already be are filing for an Automatic 3-Month Ex	oeen granted an automatic	3-month extension on a previously				
Part II			on of Time. Only file the origi	nal (no c	opies need	ed).	
	, radinonal (1101) idea	o, o monun zatonore		•	•	ee instructions	
Type or	Name of exempt organization or oth	er filer, see instructions.	Litter mer			number (EIN) or	
print					, ,		
File by the	AMERICAN LUNG ASSOCIATION				13-1632524		
due date for filing your return. See	due date for liling your Number, street, and room or suite no. If a P.O. box, see instructions.		Social se	Social security number (SSN)			
instructions		ZIP code. For a foreign ad	dress, see instructions.	•			
Enter the	Return code for the return that this ap	plication is for (file a separa	ate application for each return)			0 1	
Applicat	ion	Return	Application Is For			Return Code	
	or Form 990-EZ	01	131 01			Occ	
Form 990		02	Form 1041-A			08	
Form 472	20 (individual)	03	Form 4720 (other than individual)			09	
Form 990	)-PF	04	Form 5227			10	
Form 990	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11	
Form 990	0-T (trust other than above)	06	Form 8870			12	
STOP! D	o not complete Part II if you were not	t already granted an auto	matic 3-month extension on a pre	viously file	ed Form 8868		
Telepl  If the	DAURA SCO cooks are in the care of  3000 KELI none No.  217-787-5864  organization does not have an office or is for a Group Return, enter the organiz	LY LANE - SPRINGFIELD  place of business in the U	Fax No. ▶nited States, check this box			.   Doup, check this	
box 🕨	. If it is for part of the group, check		ach a list with the names and EINs				
4   I re	quest an additional 3-month extension	of time until MAY 15	. 2017				
	calendar year, or other tax ye			ng JUN	30, 2016	<del>.</del>	
6 If t	he tax year entered in line 5 is for less t	han 12 months, check rea	son: Initial return	Final r	return		
L	Change in accounting period						
<b>7</b> Sta	ate in detail why you need the extension	າ					
	DITIONAL TIME IS NECESSARY TO	GATHER SUFFICIENT I	NFORMATION TO FILE A				
<u>CO</u>	MPLETE AND ACCURATE RETURN.						
-							
8a If t	nis application is for Forms 990-BL, 990	)-PF. 990-T. 4720. or 6069.	enter the tentative tax, less any				
	refundable credits. See instructions.	,	,,,,,,,	8a	\$	0.	
	his application is for Forms 990-PF, 990	D-T, 4720, or 6069, enter ar	ny refundable credits and estimated				
	payments made. Include any prior yea						
pr	eviously with Form 8868.	•		8b	\$	0.	
с Ва	lance due. Subtract line 8b from line 8a	a. Include your payment w	ith this form, if required, by using				
EF	TPS (Electronic Federal Tax Payment S			8c	\$	0.	
			st be completed for Part II	-			
Under per it is true, c	alties of perjury, I declare that I have examin orrect, and complete, and that I am authoriz	ed this form, including accomed to prepare this form.	panying schedules and statements, and	to the best o	of my knowledge	e and belief,	
<u>Signature</u>	<b>&gt;</b>	Title ▶ cFo		Date	<b>•</b>		
					Form 88	68 (Rev. 1-2014)	